

Periodicity and ergodicity in the trihexagonal tiling

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Abstract

We consider the dynamics of light rays in the trihexagonal tiling where triangles and hexagons are transparent and have equal but opposite indices of refraction. We find that almost every ray of light is dense in a region of a particular form: the regions are infinite area and consists of the plane with a periodic family of triangles removed. We also completely describe initial conditions for periodic and drift-periodic light rays.

1 Introduction

Consider a partition of the plane into regions that are each made up of one of two different transparent materials so that the refraction coefficient for light traveling between the two materials is -1 . This means that the two materials have indices of refraction with equal magnitude but opposite sign, and ensures that a light ray exiting a region made of one material making an angle of θ with the normal to the boundary, enters a region made of the other material making an angle of $-\theta$ with the normal to the boundary. See the left side of Figure 1. Materials with negative index of refraction were discovered about 15 years ago and have been heavily studied since, see [24, 25]. The connection between these materials and planar tilings was made in [20]. If it were possible to create metamaterials in sufficiently large quantities, we could actually construct our tiling out of these materials, shoot a laser through it, and observe the behaviors that we discuss here.

The *trihexagonal tiling* is the edge-to-edge tiling where an equilateral triangle and a regular hexagon meet at each edge. We consider the behavior of a light beam in such a tiling where the triangles are made with one material and hexagons are made of a second material with opposite index of refraction. See the right side of Figure 1 for two light beams. Trajectories exhibit a range of behaviors. They may be periodic or *drift-periodic* (invariant under a non-trivial translational symmetry of the tiling). Such behaviors have been seen before in a number of tilings [4]. However

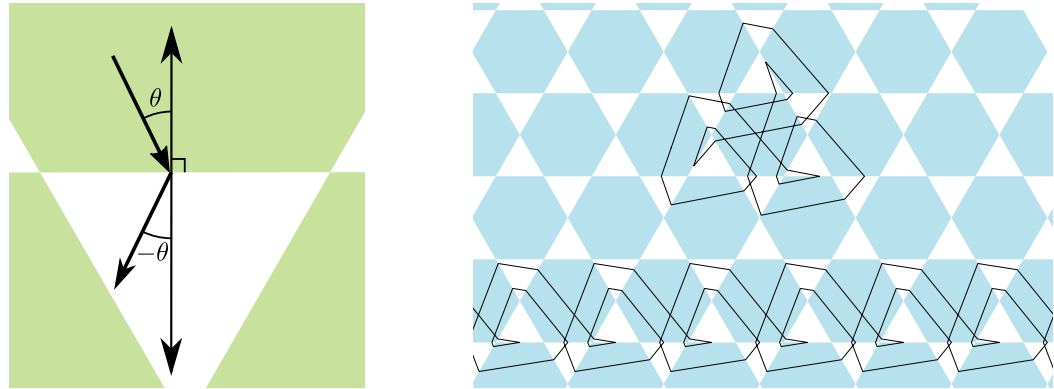


Figure 1: Left: a light ray passing between media with opposite refraction indices. Right: periodic and drift-periodic trajectories in the trihexagonal tiling.

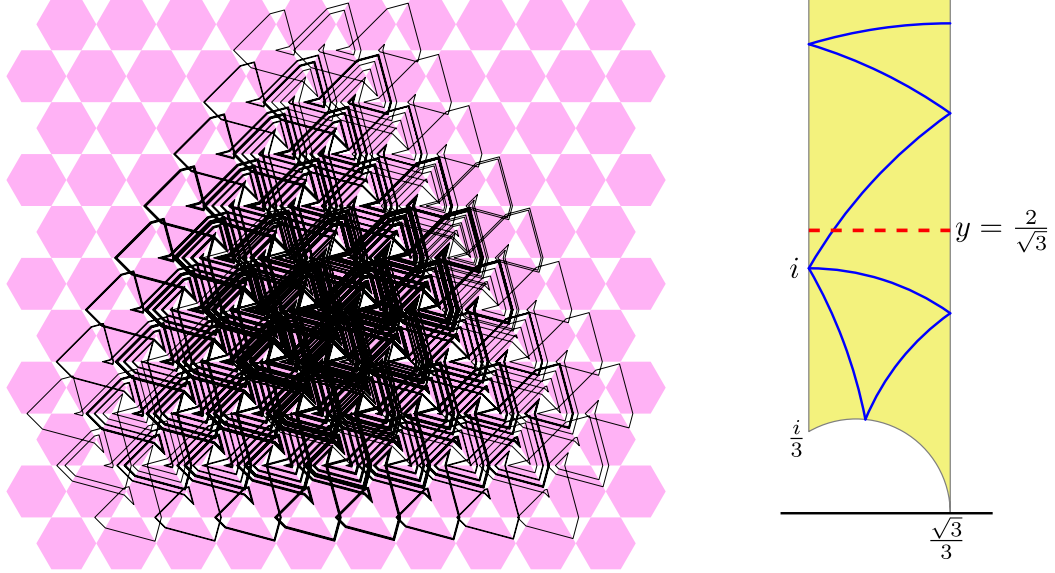


Figure 2: Left: A portion of a trajectory initiating at angle $\theta = \frac{\pi}{4}$ from a vertex of a hexagon. Note the untouched triangle centers. Right: The hyperbolic billiard table Δ shown in gray with a periodic billiard path starting at i at angle of $\frac{5\pi}{6}$ from the vertical.

we find that a randomly chosen trajectory exhibits a new kind of behavior. Say that a trajectory *illuminates* a point in the plane if the point lies in the closure of the trajectory.

Theorem 1.1. *For almost every initial point and direction, the trajectory with this initial position and direction will illuminate all of the plane except a periodic family of triangular open sets in centers of either the upward-pointing triangles or downward-pointing triangles.*

See Figure 2 for an example of a portion of a trajectory which appears to fill part of the plane, but misses a periodic family of open triangles in the center of upward-pointing triangles. Theorem 1.1 is a direct consequence of Theorem 1.2 below; see the end of §2.3 for the proof.

By rescaling time, we may assume that light moves at unit speed as measured with respect to the Euclidean metric on the plane. Then the motion of light defines what we call the *refractive* or *billiard flow* on the tiling, a unit speed flow $T^t : X \rightarrow X$ on the unit tangent bundle X of the plane with singularities at the vertices of the tiling. Trajectories are not defined through singularities. A trajectory is *non-singular* if it is defined for all time.

The behavior of a trajectory is determined to a large extent by the initial direction of travel. To formally state results of this form, we need a few basic observations about the behavior of trajectories. First, a trajectory initially traveling in direction θ in a hexagon can later only be traveling through a hexagon in a direction from the set $\{\theta, \theta + \frac{2\pi}{3}, \theta + \frac{4\pi}{3}\}$ and only be traveling through a triangle in directions from $\{-\theta, \frac{2\pi}{3} - \theta, \frac{4\pi}{3} - \theta\}$. Here, by *direction of travel* we mean the signed angle a tangent vector to the trajectory makes with the horizontal (rightward) vector field. Second, trajectories through the center of a hexagon hit singularities in both forward and backward time. Thus, a non-singular trajectory in a hexagon misses the center and travels in a counter-clockwise (positive) or clockwise (negative) direction around this center. This notion of clockwise/counter-clockwise turns out to be flow invariant. This means that we can extend this notion of direction of travel around the center of a hexagon to trajectories within triangles by flowing until we enter a hexagon and then evaluating direction of travel there. Given the choice of an angle θ and a sign $s = +$ or $s = -$, we denote by $T_{\theta,s} : X_{\theta,s} \rightarrow X_{\theta,s}$ the restriction of T to $X_{\theta,s}$, the set of unit vectors in the plane traveling in directions as listed above and traveling with sign s around the centers of

hexagons. The domains $X_{\theta,s}$ have natural invariant measure which we call their Lebesgue measures because they arise from Lebesgue measure on \mathbb{R}^2 . The observations made above are formally described in §2.3.

Above we described the notion of direction as an angle in $\mathbb{R}/2\pi\mathbb{Z}$, but it is also natural to think of this set of directions as identified with the unit circle $S^1 \subset \mathbb{R}^2$. We abuse notation by identifying S^1 with $\mathbb{R}/2\pi\mathbb{Z}$.

The different kinds of behaviors observed in the systems $T_{\theta,s} : X_{\theta,s} \rightarrow X_{\theta,s}$ as we vary θ are related to dynamics on a triangular billiard table in the hyperbolic plane \mathbb{H}^2 . This billiard table Δ is \mathbb{H}^2 modulo a $(3, \infty, \infty)$ -triangle reflection group. For us Δ represents the specific table depicted on the right side of Figure 2 as a triangle in the upper half-plane model of \mathbb{H}^2 .

Let $g_t : T_1\Delta \rightarrow T_1\Delta$ denote the unit speed billiard flow on Δ . The point $i \in \mathbb{C}$ sits in the boundary of Δ along a vertical wall. For $\theta \in S^1$, let $\vec{u}_\theta \in T^1\Delta$ be the unit tangent vector which is tangent at i to the geodesic ray initiating at i and terminating at $|\cot \theta|$ in the boundary of the upper half-plane. We define \mathcal{E}_0 to be the set of $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ for which the forward billiard orbit $\{g_t(\vec{u}_\theta) : t \geq 0\}$ has an accumulation point in the portion of Δ with imaginary part strictly greater than $\frac{2}{\sqrt{3}}$. This is the dashed line in Figure 2. In other words,

$$\mathcal{E}_0 = \left\{ \theta \in \left[\frac{\pi}{3}, \frac{2\pi}{3} \right] : \limsup_{t \rightarrow +\infty} \Im(g_t(\vec{u}_\theta)) > \frac{2}{\sqrt{3}} \text{ and } \liminf_{t \rightarrow +\infty} \Im(g_t(\vec{u}_\theta)) \neq +\infty \right\}, \quad (1)$$

where \Im here denotes taking the imaginary part of the basepoint of a unit tangent vector in the upper half-plane. By classic results about geodesic flow on finite volume hyperbolic surfaces [17], the set \mathcal{E}_0 is full measure in $[\frac{\pi}{3}, \frac{2\pi}{3}]$. In fact, the set $[\frac{\pi}{3}, \frac{2\pi}{3}] \setminus \mathcal{E}_0$ has Hausdorff dimension smaller than one; see the discussion in [19, Proof of Proposition 6].

We define \mathcal{E} to be the orbit of \mathcal{E}_0 in S^1 under the rotation group of order six, namely,

$$\mathcal{E} = \mathcal{E}_0 \cup \left(\frac{\pi}{3} + \mathcal{E}_0\right) \cup \left(\frac{2\pi}{3} + \mathcal{E}_0\right) \cup (\pi + \mathcal{E}_0) \cup \left(\frac{4\pi}{3} + \mathcal{E}_0\right) \cup \left(\frac{5\pi}{3} + \mathcal{E}_0\right).$$

Theorem 1.2 (Ergodic directions). *If $\theta \in \mathcal{E}$ then the flows $T_{\theta,+}$ and $T_{\theta,-}$ are ergodic when the domains $X_{\theta,+}$ and $X_{\theta,-}$ are equipped with their natural Lebesgue measures.*

By remarks above this implies the set of non-ergodic directions has Hausdorff dimension less than 1.

Example 1.3 ($\theta = \frac{\pi}{4}$). The angle $\theta = \frac{\pi}{4}$ lies in \mathcal{E} . To see this observe that $\theta' = \theta + \frac{\pi}{3} = \frac{7\pi}{12} \in [\frac{\pi}{3}, \frac{2\pi}{3}]$. By definition $\vec{u}_{\theta'}$ is the unit tangent vector based at i pointed into Δ at angle $\frac{5\pi}{6}$ from the vertical. The billiard trajectory is periodic and is depicted in Figure 2. This billiard trajectory repeatedly travels above the line where $y = \frac{2}{\sqrt{3}}$ and so by the Theorem above the flows $T_{\theta,+}$ and $T_{\theta,-}$ are ergodic. A trajectory of $T_{\theta,+}$ is shown on the left side of Figure 2. We do not know if this trajectory equidistributes.

Now we will consider what happens when $\theta \notin \mathcal{E}$. A special collection of such directions are those parallel to a vector in the *Eisenstein lattice*, the subgroup $\Lambda \subset \mathbb{R}^2$ redundantly generated by

$$\mathbf{v}_0 = (1, 0), \quad \mathbf{v}_1 = \left(-\frac{1}{2}, \frac{\sqrt{3}}{2}\right) \quad \text{and} \quad \mathbf{v}_2 = \left(-\frac{1}{2}, -\frac{\sqrt{3}}{2}\right). \quad (2)$$

To explain the dynamics in these directions we need some definitions.

Definition 1.4. We define $\Lambda_{vis} \subset \Lambda$ to consist of those lattice points *visible* from the origin, in the sense that they are not blocked by any other point of the lattice. Formally,

$$\Lambda_{vis} = \left\{ \mathbf{w} \in \Lambda : c\mathbf{w} \notin \Lambda \text{ for all } c \in \mathbb{R} \text{ with } 0 < c < 1 \right\}. \quad (3)$$

These points are indicated by closed and open disks in Figure 3.

Definition 1.5. For $\mathbf{v} \in \mathbb{R}^2$, let $\|\mathbf{v}\|_\circ = \min\{|a| + |b| + |c| : \mathbf{v} = a\mathbf{v}_0 + b\mathbf{v}_1 + c\mathbf{v}_2\}$.

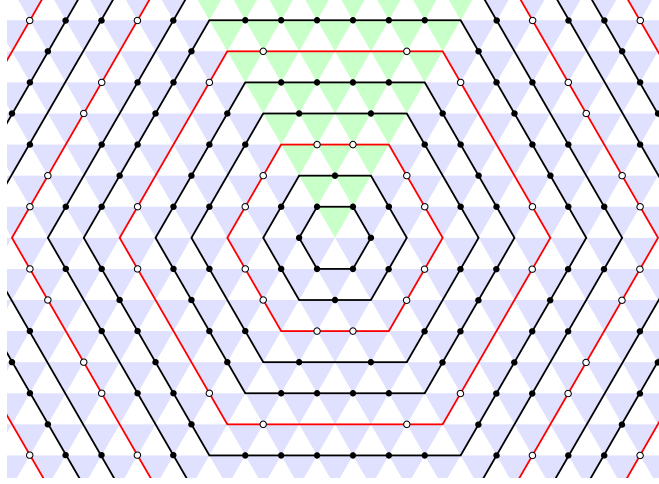


Figure 3: A portion of the plane showing vectors associated to periodic (solid) and drift-periodic (open) directions. Lattice points without markers are not visible from the origin. The green region contains vectors in Λ with directions in $[\frac{\pi}{3}, \frac{2\pi}{3}]$.

This norm takes integer values on Λ : $\|\mathbf{v}\|_{\circ} = n$ when \mathbf{v} is in the n th concentric hexagon shown in Figure 3.

We define two subsets of the circle:

$$\mathcal{D} = \left\{ \frac{\mathbf{w}}{|\mathbf{w}|} \in S^1 : \mathbf{w} \in \Lambda_{vis} \quad \text{and} \quad \|\mathbf{w}\|_{\circ} \equiv 0 \pmod{3} \right\}, \quad \text{and}$$

$$\mathcal{P} = \left\{ \frac{\mathbf{w}}{|\mathbf{w}|} \in S^1 : \mathbf{w} \in \Lambda_{vis} \quad \text{and} \quad \|\mathbf{w}\|_{\circ} \not\equiv 0 \pmod{3} \right\}.$$

Theorem 1.6 (Lattice directions).

1. If $\theta \in \mathcal{P}$ then $T_{\theta,+}$ and $T_{\theta,-}$ are completely periodic, i.e., every non-singular trajectory is periodic. Conversely, every periodic trajectory is contained in $X_{\theta,+}$ or $X_{\theta,-}$ for some $\theta \in \mathcal{P}$.
2. If $\theta \in \mathcal{D}$ then $T_{\theta,+}$ and $T_{\theta,-}$ are completely drift-periodic, i.e., every non-singular trajectory is invariant under a non-trivial translational symmetry of the tiling. Conversely, every drift-periodic trajectory is contained in $X_{\theta,+}$ or $X_{\theta,-}$ for some $\theta \in \mathcal{D}$.

We also show that periodic trajectories are preserved by order three rotation symmetries of the tiling (Corollary 5.6), and drift-periodic trajectories are invariant under one of the six non-trivial translations of the tiling that minimize translation distance (Corollary 5.4).

Remark 1.7. We have $\theta \in \mathcal{P} \cup \mathcal{D}$ and $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ if and only if the billiard trajectory $g_t(\vec{u}_{\theta})$ limits on one of the two ideal vertices of Δ . Furthermore, if the trajectory limits on the ideal vertex at ∞ then $\theta \in \mathcal{D}$, and if it limits on the ideal vertex at $\frac{\sqrt{3}}{3}$ then $\theta \in \mathcal{P}$. This together with order six rotational invariance determines the sets \mathcal{P} and \mathcal{D} .

It is natural to ask what can be said about all trajectories since we have not covered all directions, and ergodicity only says something about almost every trajectory in a direction. To this end we show:

Theorem 1.8. All non-singular bounded trajectories of T are periodic.

This theorem is proved at the end of §3.

In addition, we remark that in a set of directions of Hausdorff dimension more than $\frac{1}{2}$, the locally-finite ergodic invariant measures for $T_{\theta,+}$ and $T_{\theta,-}$ are classified: they are Maharam measures and are in bijection with group homomorphisms $\mathbb{Z}^2 \rightarrow \mathbb{R}_\times$. This follows from work in [15]. In particular, this gives ergodicity in many directions for which Theorem 1.2 does not apply. Nonetheless they do not cover all non-lattice directions, and so the following are left open:

Question 1.9. Is it true that if θ is not parallel to a vector in the Eisenstein lattice, then the Lebesgue measure is ergodic for each of the flows $T_{\theta,+}$ and $T_{\theta,-}$?

Assuming an affirmative answer to this question, the system exhibits behavior very much analogous to the straight-line flow on a compact translation surface with the *lattice property*. By definition such a surface is stabilized by a lattice $\Gamma \subset \mathrm{PGL}(2, \mathbb{R})$ acting by deformations of the translation surface structure. In this setting, *Veech dichotomy* guarantees that the straight-line flow in any fixed direction on such a surface is either uniquely ergodic or completely periodic with the later case corresponding to directions in which geodesics in \mathbb{H}^2/Γ exit a cusp [28, Theorem 8.2].

In fact, lattice surfaces are essential to our proofs. From the tiling, we construct a translation surface S that is a infinite cover of a torus; see §3. The flows $T_{\theta,+}$ and $T_{\theta,-}$ are orbit equivalent to straight-line flows in some direction on S (Theorem 3.4). This infinite translation surface has the lattice property (Proposition 4.6) and indeed the associated lattice in $\mathrm{PGL}(2, \mathbb{R})$ is the triangle group obtained by reflections in the sides of our triangle $\Delta \subset \mathbb{H}^2$. We use the orbit equivalence and the symmetries provided by the Veech group to deduce Theorem 1.6; see §5. The orbit-equivalence reduces the statement of Theorem 1.2 to a statement about ergodicity of straight-line flows on S . To verify ergodicity here we use a criterion due to Hubert and Weiss [19] developed into a context closer to ours by Artigiani [1] which provides a criterion for ergodicity of the straight-line flow on S . To get a concrete geometric description of the directions shown to be ergodic, we do some additional work in greater generality in an appendix to this paper. (Namely, we show that Hubert and Weiss' notion of a direction being well-approximated by strips is equivalent in the lattice case to the corresponding geodesic in the Teichmüller curve having an accumulation point in an explicit finite union of cusp neighborhoods.) We explain and apply this approach in §6 where we prove Theorem 1.2. Ergodicity of almost every direction on S also follows from work of Ralston and Troubetzkoy [23] whose approach to these problems is similar to that of Hubert and Weiss.

Acknowledgments

Sergei Tabachnikov introduced us to tiling billiards in the context of Summer@ICERM, where two groups of students were the first to work on it [4, 10]. ICERM in 2012 and Williams College in 2016 provided excellent working environments.

We became interested in the trihexagonal tiling and observed phenomena described above by experimenting with the using computer programs written by the second author and Alex St Laurent. The programs model tiling billiards. The program of St Laurent is publicly available [26] and can be run in a modern browser. Many figures are generated using Sage (<http://www.sagemath.org/>) using the open-source FlatSurf package [7] written by the second author and Vincent Delecroix. (Additional contributors to FlatSurf are welcome.)

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1.1 Context and questions

1.1.1 Tiling billiards

The connection between metamaterials with a negative index of refraction and the problem on planar tilings was made by Mascarenhas and Fluegel [20]. Davis, DiPietro, Rustad and St Laurent

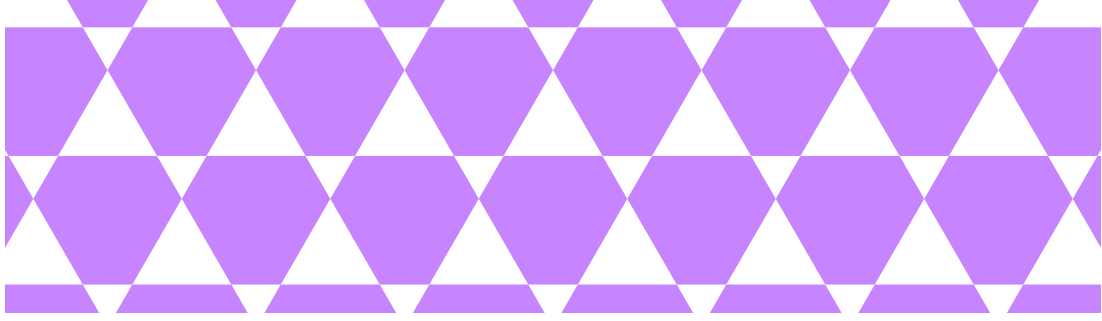


Figure 4: A deformed trihexagonal tiling where the edges of downward pointing triangles have half the length of edges of upward pointing triangles.

named the system *tiling billiards* and explored several special cases of the system, including triangle tilings and the trihexagonal tiling [4]. They found examples of periodic trajectories in the trihexagonal tiling, constructed families of drift-periodic trajectories, and conjectured that dense trajectories and non-periodic escaping trajectories exist ([4], Conjectures 5.12-5.13).

Concurrently with our work on the trihexagonal tiling, Baird-Smith, Fromm and Iyer in [3] studied tiling billiards on triangle tilings, showing that trajectories on these tilings can be described by interval and polygon exchange transformations, and resolving additional conjectures from [4]. These systems are quite different: if a trajectory visits a single tile twice then the trajectory is periodic.

One can interpolate between the trihexagonal tiling and the tiling by equilateral triangles by simultaneously shrinking the edges of downward-pointing triangles until the downward triangles disappear. See Figure 4.

Question 1.10. How does the tiling dynamics change as we vary ratio of lengths of edges of the upward and downward pointing triangles?

More generally, we wish to understand the dynamics of trajectories on tilings, e.g.:

Question 1.11. What feature of a tiling makes it possible (as here) or impossible (as in triangle tilings) to have dense regions in tiling billiard trajectories in the plane?

1.1.2 Periodic billiard tables and related systems

Others have studied various billiard systems on a periodically-tiled plane. In the *wind-tree model*, there are axis-parallel rectangular obstacles (trees) at lattice points, with a billiard flow (wind) in the plane outside of the obstacles. Delecroix, Hubert and Lelièvre showed that no matter the size of the obstacles, for almost every direction the polynomial diffusion rate is $2/3$ [8]. Subsequently Delecroix and Zorich determined the diffusion rates for other periodic families of objects with axis-parallel edges, such as the wind-tree model with a periodic set of obstacles removed, or obstacles with a more complicated shape [9]. Other work on the wind-tree model is in [2, 6, 18], and on other periodic billiard tables in [13, 14].

Other billiard systems are also motivated by optics. Frączek and Schmoll [12] and Artigiani [1] studied the plane with periodic optical obstacles called *Eaton lenses*, which act as a perfect optical retro-flector: when a light ray enters, it exits parallel but traveling in the opposite direction. In both papers, the authors replaced the spherical lenses with slits in the plane, and constructed a related translation surface. Typically the associated flows are non-ergodic [12] but Artigiani [1] demonstrated that many configurations lead to ergodic flows.

1.2 Outline of paper

- In §2, we introduce the tiling billiards system, describe the *folding technique*, and give several fundamental results specific to the trihexagonal tiling.
- In §3, we define a translation surface S from the tiling, and state and prove the orbit-equivalence result.
- In §4, we give specific results about S . In particular, we find the Veech (Affine symmetry) group of the surface.
- In §5, we use the symmetries of S to investigate periodic and drift-periodic directions on S . We use the orbit-equivalence to describe the periodic and drift-periodic directions for the tiling flow.
- In §6, we prove the ergodicity of almost every aperiodic direction.
- The appendix revisits work of Hubert and Weiss with the goal of providing a more geometric version of their ergodicity criterion which we utilize in proving Theorem 1.2.

2 Definitions and basic observations

2.1 The billiard flow on a tiling

Consider a tiling \mathcal{T} of the plane by regions with piecewise C^1 boundaries. For concreteness denote these regions by R_i . We consider a ray in some region R_1 in \mathcal{T} , which shares a boundary with some region R_2 . When the ray intersects the boundary between R_1 and R_2 , it is reflected across the tangent line to the boundary curve at the point of intersection. (If the regions are polygonal, as they are here, the ray is reflected across the edge itself.) We can extend this new ray to a line, and continue along this line in the traveling away from the intersection point. The *billiard flow* on \mathcal{T} is the flow defined by refracting in this way all trajectories across the boundaries they hit. See Figure 1. In the case that the tiling can be 2-colored, this agrees with the flow of light when the tiles of each color are composed with transparent materials with equal but opposite indices of refraction and we also call the billiard flow the *refractive flow*.

2.2 The folding construction

Because the billiard flow reflects a trajectory across each edge of the tiling, we can use *folding* to significantly simplify our analysis, as follows. When a trajectory crosses an edge of the tiling (the left side of Figure 5), we fold the tiling across that edge, the dotted line in Figure 5. When the trajectory crosses the next edge, we fold across that edge as well (the middle of Figure 5). The result is that the trajectory on the folded tiling is always along a single line, alternating at each edge crossing between forward and backward (the right side of Figure 5).

2.3 Applications to the trihexagonal tiling

Lemma 2.1. *In the trihexagonal tiling, a billiard trajectory initially traveling in direction θ in a hexagon (resp. triangle) is traveling in a direction in the set $\{\theta, \theta + 2\pi/3, \theta + 4\pi/3\}$ whenever it returns to a hexagon (resp. triangle).*

Proof. When a trajectory crosses an edge, its direction is transformed via a reflection across the line between the midpoint of that edge and the center of the polygon (hexagon or triangle). These reflections form a symmetry group of order 6. Furthermore, to get back into the polygon of the same kind, an even number of reflections is required, since the trajectory alternates between triangles and hexagons. Thus the change in direction between returns to hexagons (or triangles) is by the action of an element of the group of rotations of order three. \square

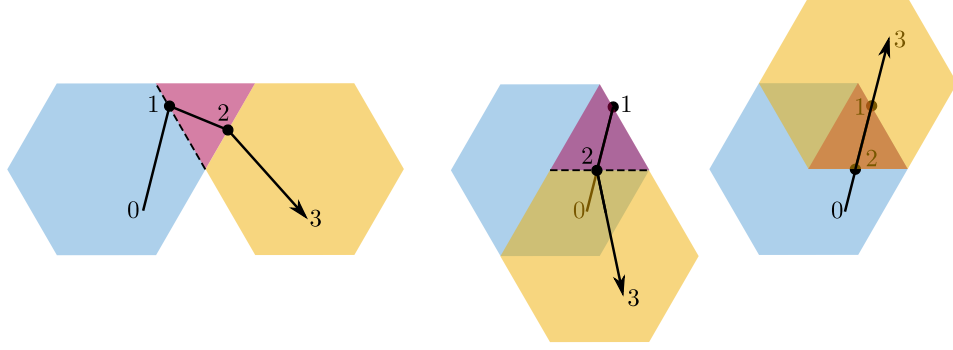


Figure 5: When we fold the tiling along each edge that the trajectory crosses, the folded trajectory goes back and forth along a single line.

A hexagon and a triangle meet at every edge of the trihexagonal tiling, so the folded trajectory goes “forward” in hexagons and “backward” in triangles (or vice-versa, depending on convention), as in the right side of Figure 5. Since hexagons are larger than triangles, the trajectory makes forward progress in the folded tiling. This is in contrast to the behavior on the square tiling, for example, where every trajectory folds up to a finite line segment, on which it goes back and forth (see [4], Figure 5).

Lemma 2.2. *The center of each hexagon is singular, in the sense that a refractive flow through the center always hits a singularity.*

Proof. A trajectory through the center of the hexagon then passes through a triangle, since every edge of a hexagon is shared with a triangle. When we fold the trajectory along this edge, the third vertex of the triangle folds down to the center of the hexagon. Thus every trajectory through the center of a hexagon passes through a vertex of a triangle, and is singular. \square

Lemma 2.3. *The clockwise or counter-clockwise travel around centers of hexagons is invariant under the refractive flow.*

Proof. Suppose, without loss of generality, that a given trajectory travels counter-clockwise around a particular hexagon, towards the top horizontal edge (the left side of Figure 6). The refractive flow is symmetric across this edge, and the trajectory stays on the right side of the lower dotted line in Figure 6, so it must also stay on the right of the upper dotted line. Thus it hits the right edge of the triangle and passes into the hexagon on the right. When we fold across these two edges, superimposing the second hexagon on the first, we can see that the trajectory travels counter-clockwise in the second hexagon as well. Since counter-clockwise travel in one hexagon leads to counter-clockwise travel in the next hexagon, and the same holds for clockwise travel, the orientation is invariant under the refractive flow. \square

It therefore makes sense to distinguish trajectories by the direction they travel around the centers of hexagons. We introduce notation for this:

Definition 2.4 (Restricted refractive flows). We define $T_{\theta,+}$ (resp. $T_{\theta,-}$) to be the refractive flow T restricted to the set $X_{\theta,+} \subset X$ (resp. $X_{\theta,-} \subset X$) consisting of unit tangent vectors representing initial positions and directions of trajectories that travel in a direction in the set $\{\theta, \theta + 2\pi/3, \theta + 4\pi/3\}$ when within a hexagon and travel counter-clockwise (resp. clockwise) around the centers of hexagons.

Definition 2.5 (Lebesgue measure on $X_{\theta,s}$). Consider the the identification between X and $\mathbb{R}^2 \times S^1$ which recovers a unit tangent vector’s basepoint and direction. By Lemma 2.1, vectors in $X_{\theta,s}$

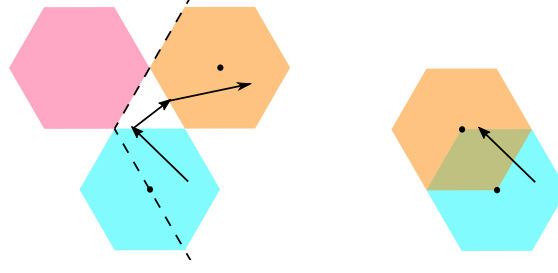


Figure 6: Counter-clockwise travel in one hexagon leads to counter-clockwise travel in the next hexagon as well.

point in one of six directions. We define $A \subset X_{\theta,s}$ to be *Lebesgue measurable* if for each of these six directions, θ' , the set

$$A_{\theta'} = \{\mathbf{v} \in \mathbb{R}^2 : (\mathbf{v}, \theta') \in A\}$$

the sum of the planar Lebesgue measures of the sets $A_{\theta'}$.

Because the index of refraction is negative one, it follows that Lebesgue measure on $X_{\theta,s}$ is $T_{\theta,s}$ -invariant. We leave the details to the reader.

We will find it convenient to use the symmetries of our tiling to limit the flows defined above we need to consider. It turns out that up to the symmetries of the tiling, all these flows $T_{\theta,s}$ are conjugate to ones which travel in a direction in the interval $[\frac{\pi}{3}, \frac{2\pi}{3}]$ and that travel counter-clockwise around the centers of hexagons. Formally:

Proposition 2.6. *For any $\theta \in S^1$ and any sign $s \in \{+, -\}$ there is a $\theta' \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ and an isometry of the tiling I so that $I(X_{\theta,s}) = X_{\theta',+}$ and $T_{\theta',+}^t = I \circ T_{\theta,s}^t \circ I^{-1}$.*

Proof. Applying a reflective symmetry of the tiling swaps trajectories that travel clockwise around the centers of hexagons with ones that travel counter-clockwise. The interval $[\frac{\pi}{3}, \frac{2\pi}{3}]$ represents a fundamental domain for the action of the rotational symmetries of the tiling on the circle of directions. So, we can always take I to be such a rotational symmetry or a composition of a reflective symmetry and a rotational symmetry. \square

The above Proposition allows us to assume that our trajectories travel in a direction $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ and travel counter-clockwise around centers of hexagons.

Let $\pi : X \rightarrow \mathbb{R}^2$ denote the projection of a unit tangent vector in the plane to the vector's basepoint in the plane. The next few results describe the closure of the projection of $X_{\theta,s} \subset X$.

Lemma 2.7. *If $\frac{\pi}{3} \leq \theta < \frac{\pi}{2}$ (respectively, $\frac{\pi}{2} < \theta \leq \frac{2\pi}{3}$), then $\overline{\pi(X_{\theta,+})}$ is all of the plane but the periodic family open triangles in upward (resp. downward) pointing triangles in the tiling which are bounded by segments of singular trajectories hitting vertices of the triangle from the tiling in forward (resp. backward) time. See Figure 7 for illustration of these missing triangles. When $\theta = \frac{\pi}{2}$ we have $\overline{\pi(X_{\theta,+})} = \mathbb{R}^2$.*

Note that it is a direct consequence that no trajectory is dense in the plane.

Corollary 2.8. *For each non-singular trajectory, there is a periodic family of open sets containing centers of either the upward-pointing triangles or downward-pointing triangles, such that the trajectory never enters the family of sets.*

See Figure 2 for an example.

Proof. Each trajectory lies in some $X_{\theta',s'}$, which up to a tiling symmetry has the form $X_{\theta,+}$ for some $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ by Proposition 2.6. If $\theta \neq \frac{\pi}{2}$, then this follows directly from Lemma 2.7. When $\theta = \frac{\pi}{2}$, it is not hard to show that all trajectories are periodic and singular trajectories can not intersect triangle centers. \square



Figure 7: Left: The red triangle is not in $\overline{\pi(X_{\theta,+})}$ when $\frac{\pi}{3} \leq \theta < \frac{\pi}{2}$. Right: Similar but shown when $\frac{\pi}{2} \leq \theta < \frac{2\pi}{3}$.

Proof of Lemma 2.7. The lemma concerns the case when $\frac{\pi}{3} \leq \theta \leq \frac{2\pi}{3}$. We will leave the case of $\theta = \frac{\pi}{2}$ to the reader though it follows from the same type of observations.

First, we will show that the flow covers all of each hexagon. The counter-clockwise flow in direction θ covers half of the hexagon, everything to the right of the singular trajectory through the hexagon center. The flow in direction θ also includes the flows in directions $\theta + \frac{2\pi}{3}$ and $\theta + \frac{4\pi}{3}$. Thus we also cover the images of this half-hexagon under rotations of order three. The three rotated images of the half hexagon cover the entire hexagon.

Now we will explain how this missing triangles appear. A counter-clockwise trajectory traveling in a direction $\theta \in [\frac{\pi}{3}, \frac{\pi}{2})$ in a hexagon misses the centers of upward-pointing triangles: Consider a flow in this direction, which is to the right of vertical, on a hexagon (Figure 8a). Recall that the flow through the center of the hexagon is singular (Lemma 2.2), and passes through the top vertex of the next triangle. This singular flow (thick line) divides the flow on the hexagon and triangle into a left side (clockwise flow) and a right side (counter-clockwise flow). Since we restrict our attention to counter-clockwise flow, only the flow to the right of the singularity is allowed, which misses the center of the triangle. Trajectories in $X_{\theta,+}$ also travel within hexagons in directions which differ from θ by a rotation of order three (i.e., by rotations of $\pm \frac{2\pi}{3}$); see Lemma 2.1. Observe that there are isometries of our tiling whose derivatives realize these rotations. Such isometries preserve the sets of upward (resp. downward) pointing triangles. It follows that a triangular island in the center of each upward pointing triangle is missed, whose boundaries are the singular flows through the centers of adjacent hexagons.

By the same argument, a counter-clockwise trajectory with $\pi/2 < \theta \leq 2\pi/3$ misses a triangular island at the centers of the downward-pointing triangles (Figure 8b).

Now we will show that when $\theta \in [\pi/3, \pi/2)$, the image of $X_{\theta,+}$ covers all all of the downward-facing triangles (Figure 9a). The singular trajectory in this direction through the hexagon center intersects the edge between the previous (downward-facing) triangle and the hexagon to the left of its midpoint. The flow is on the right side of the singular trajectory, so this flow covers a portion of the triangle that includes more than half of its area, including the triangle center. The flow in direction θ also includes the three rotations of order 3 of direction θ , and the 3 rotations of the portion of the triangle cover the entire triangle.

Again by the same argument, a counter-clockwise flow in direction $\theta \in (\pi/2, 2\pi/3]$ covers all of the upward-facing triangles (Figure 9b). \square

Remark 2.9. Although the flows $T_{\theta,s}$ equipped with their Lebesgue measures are often ergodic (Theorem 1.2), trajectories do not equidistribute in the plane (in the sense of the ratio Ergodic theorem) even outside the missed triangles, because different points in the plane are hit different numbers of times (as few as zero and as many as three times) by the projection of $X_{\theta,s}$ to the plane. For example, generic trajectories run through some regions three times as often as other regions of the same area.



Figure 8: Counter-clockwise trajectories are shown in black and clockwise in red. Counter-clockwise trajectories that travel (a) to the right of vertical in hexagons miss the centers of upward triangles, while those that travel (b) to the left of vertical in hexagons miss the centers of downward triangles.

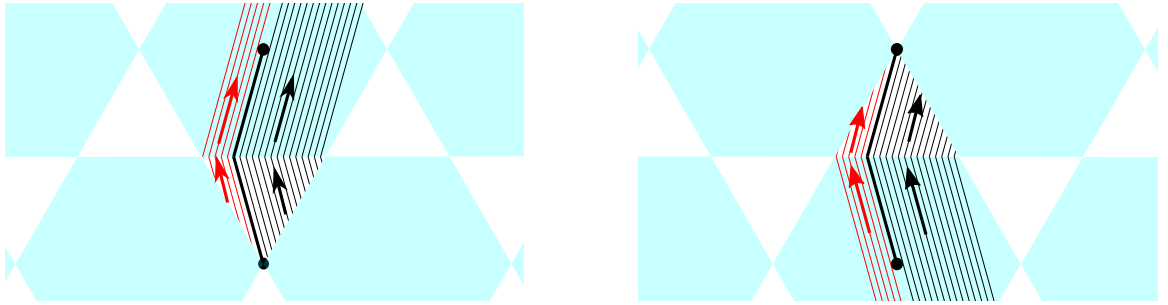


Figure 9: Counter-clockwise trajectories that travel (a) to the right of vertical in hexagons cover all the downward triangles, while those that travel (b) to the left of vertical in hexagons cover all the upward triangles.

Proof of Theorem 1.1 assuming Theorem 1.2. Recall, we need to show that for Lebesgue-almost every starting point \mathbf{x} and starting direction θ our T -trajectory is dense in the plane minus a periodic family of triangular islands.

Recall that the set of ergodic directions \mathcal{E} used in Theorem 1.2 is full measure. (See the discussion under the theorem.) So Lebesgue-almost surely $\theta \in \mathcal{E}$ and $-\theta \in \mathcal{E}$. Therefore by Theorem 1.2, we may assume that $T_{\theta,+}$, $T_{\theta,-}$, $T_{-\theta,+}$ and $T_{-\theta,-}$ are all ergodic.

Think of θ as fixed and satisfying this statement that the four maps above are ergodic. Then Lebesgue almost-every $\mathbf{x} \in \mathbb{R}^2$ yields a unit tangent vector (\mathbf{x}, θ) lying in one of four possible sets:

- It lies in $X_{\theta,+}$ (resp. $X_{\theta,-}$) if \mathbf{x} lies in the interior of a hexagon and flow of \mathbf{x} in direction θ moves counter-clockwise (resp. clockwise) around the center of the hexagon.
- It lies in $X_{-\theta,+}$ (resp. $X_{-\theta,-}$) if \mathbf{x} lies in the interior of a triangle and the refractive trajectory travels counter-clockwise (resp. clockwise) around the center of the next hexagon entered.

Since each of the four flows $T_{\theta,+}$, $T_{\theta,-}$, $T_{-\theta,+}$ and $T_{-\theta,-}$ are ergodic (and the natural topologies on the domains have a countable basis where all open sets are assigned positive mass by Lebesgue measure), it follows from standard results in ergodic theory that almost every trajectory in any of these four domains is dense in that domain. We conclude that almost every $\mathbf{x} \in \mathbb{R}^2$ gives a unit tangent vector (\mathbf{x}, θ) whose trajectory is dense in one of the four sets $X_{\theta,+}$, $X_{\theta,-}$, $X_{-\theta,+}$ or $X_{-\theta,-}$. \square

3 From the tiling to a translation surface

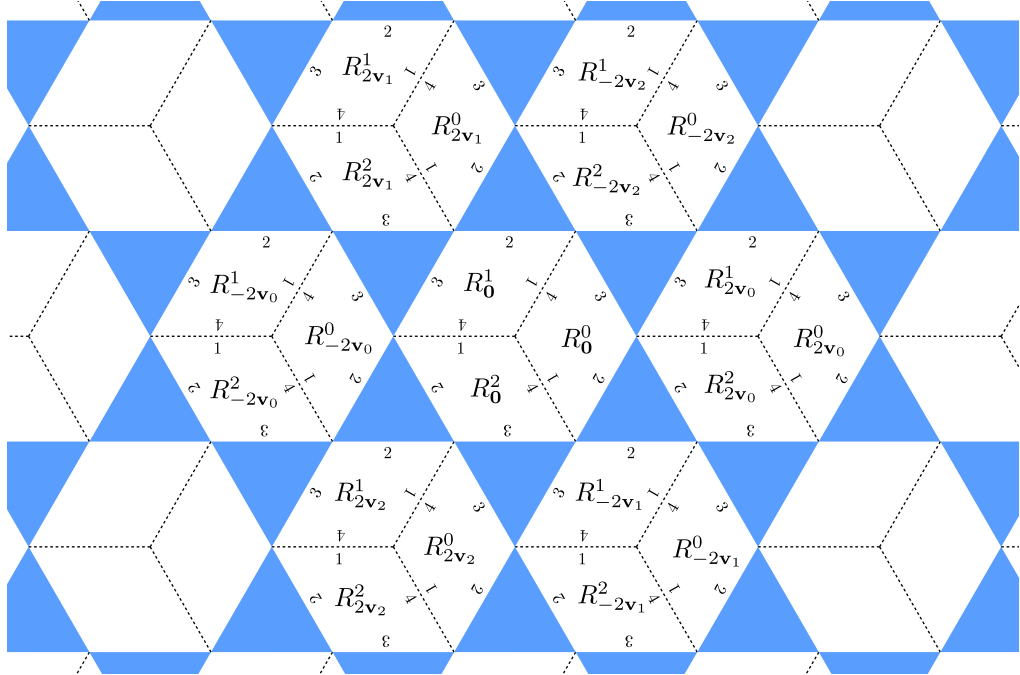
We define the vectors \mathbf{v}_0 , \mathbf{v}_1 and \mathbf{v}_2 as in (2). We normalize our tiling so that centers of hexagons lie in $2\Lambda = \langle 2\mathbf{v}_0, 2\mathbf{v}_1, 2\mathbf{v}_2 \rangle$. This makes all edges of our tiling have length one. We use H_c to denote the hexagon whose center is $c \in 2\Lambda$. We split each hexagon into three rhombuses: $H_c = \bigcup_{i=0}^2 R_c^i$ where R_c^i is the rhombus which has the hexagon's center c as one vertex and has edge vectors at this vertex given by the two vectors $-\mathbf{v}_{i+1}$ and $-\mathbf{v}_{i-1}$ (where subscripts \mathbf{v}_* are interpreted modulo three). This means that R_c^i has vertices c , $c - \mathbf{v}_{i+1}$, $c + \mathbf{v}_i$, and $c - \mathbf{v}_{i-1}$. Note that the vector representing the short diagonal of R_c^i pointing away from the center of the hexagon is \mathbf{v}_i . We label the edges of each rhombus R_c^i by $\{1, 2, 3, 4\}$ counterclockwise starting with the edge leaving the center c in direction $-\mathbf{v}_{i+1}$. See Figure 10.

The decomposition into rhombi is useful for understanding the behaviors of trajectories:

Lemma 3.1 (Trajectories exiting rhombi). *Consider a trajectory traveling through the interior of a rhombus R_c^i in a direction which makes an angle $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ with the vector \mathbf{v}_i . If such a trajectory exits through the interior of an edge, that edge must have label either 3 or 4. In addition:*

1. *Such a trajectory exiting through edge 3 will exit hexagon H_c through that edge, pass through the triangle opposite that side, then enter hexagon $H_{c+2\mathbf{v}_i}$ and move through the hexagon until it enters rhombus $R_{c+2\mathbf{v}_i}^{i-1}$ through edge 1. Furthermore if the trajectory exits R_c^i at point p of edge 3 traveling in direction θ , and enters $R_{c+2\mathbf{v}_i}^{i-1}$ through edge 1 at point q traveling in direction η , then $\eta = \theta - \frac{2\pi}{3}$ and q is the image of p under the rotation by angle $-\frac{2\pi}{3}$ about the vertex in common between the rhombi.*
2. *Such a trajectory exiting through edge 4 will exit hexagon H_c , pass through a triangle, and then enter hexagon $H_{c-2\mathbf{v}_{i-1}}$ through edge 2 of rhombus $R_{c-2\mathbf{v}_{i-1}}^{i-1}$. Furthermore if the trajectory exits R_c^i at point p in edge 4 traveling in direction θ , and enters $R_{c-2\mathbf{v}_{i-1}}^{i-1}$ at point q of edge 2 traveling in direction η , then $\eta = \theta - \frac{2\pi}{3}$ and q is the image of p under the rotation by angle $-\frac{2\pi}{3}$ about the vertex in common between the rhombi.*

Proof. We will prove these for $c = 0$ using Figure 10, and the general case follows by translation.



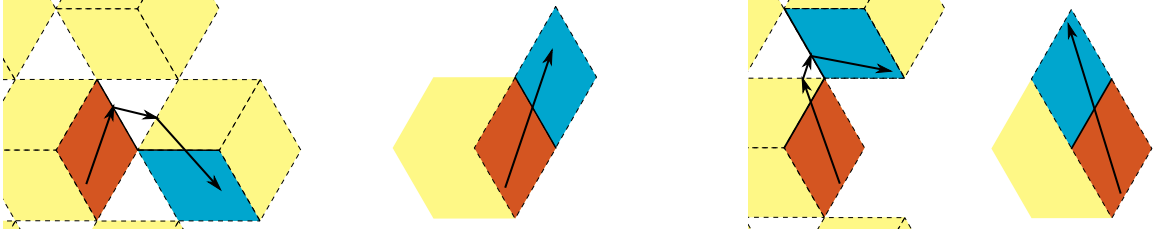


Figure 11: A trajectory leaving through the solid edge of the orange rhombus enters the blue rhombus through the solid edge.

1. Consider a trajectory exiting rhombus R_0^0 through edge 3, with a direction $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$. This trajectory crosses the adjacent triangle and then enters a hexagon. Because of the angle condition, it must enter the lower hexagon H_{2v_0} , passing through rhombus $R_{2v_0}^1$ and into rhombus $R_{2v_0}^2$. We can see this by folding the tiling across the two edges that the trajectory crosses, so that R_0^0 and $R_{2v_0}^2$ are adjacent, as in the left two pictures of Figure 11.

The two lines we fold along are separated by an angle of $\pi/3$, so folding along both of them amounts to a rotation by twice the angle, $2\pi/3$, about their intersection point. Thus, q is the image of p under the inverse of this rotation, a rotation by $-2\pi/3$ about the common vertex, and similarly the angle of the trajectory in $R_{2v_0}^2$ is $\eta = \theta - \frac{2\pi}{3}$.

The geometry is the same for R_0^1 and R_0^2 , with the picture rotated by $2\pi/3$ and $4\pi/3$, respectively.

2. Consider a trajectory exiting rhombus R_0^0 through edge 4, with a direction $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$. This trajectory crosses R_0^1 and then enters an adjacent triangle. Because of the angle condition, it must exit through edge 2 of R_0^1 and enter the hexagon on the right H_{-2v_2} , into rhombus $R_{-2v_2}^2$. We can see this by folding the tiling across the two edges that the trajectory crosses, so that R_0^0 and $R_{-2v_2}^2$ are adjacent, as in the right two pictures of Figure 11.

The two lines we fold along are again separated by an angle of $\pi/3$, so the angle result and the locations of p and q follow as in part (1). Again, the geometry for R_0^1 and R_0^2 is the same, but with the picture rotated.

To see the general case of $c \neq 0$, observe that the refractive flow is invariant under translations by vectors in 2Λ . These translations act on rhombus labels by addition. \square

We will build a metric surface S by gluing the rhombi along edges by orientation-reversing isometries of the edges. Technically, to consider S a surface, the vertices of the rhombi must be removed before making these identifications, because otherwise these points will not have neighborhoods homeomorphic to a neighborhood in the plane because infinitely many rhombi will be identified at a single vertex. Our identifications turn out to always be by a rotation by $\pm \frac{2\pi}{3}$ about a vertex of the tiling. We partition the rhombi into triples which appear around downward-pointing triangles in the tiling (Figure 12). Within such a triple, we identify the rhombi along edges 1 and 3 to form a cylinder. See the left side of Figure 13. Similarly, there is another partition of the rhombi into triples given by considering triples of rhombi surrounding upward-pointed triangles in the tiling. We glue edges labeled 2 and 4 of these rhombi together to form a cylinder. See the right side of Figure 13. Formally, the gluings are described by the following rules, where we use $E_j(R_c^i)$ to denote the edge with label j of rhombus R_c^i :

$$\begin{aligned} E_1(R_c^i) &\rightarrow E_3(R_{c-2v_{i+1}}^{i+1}), & E_3(R_c^i) &\rightarrow E_1(R_{c+2v_i}^{i-1}), \\ E_2(R_c^i) &\rightarrow E_4(R_{c+2v_i}^{i+1}), & E_4(R_c^i) &\rightarrow E_2(R_{c-2v_{i-1}}^{i-1}). \end{aligned} \quad (4)$$

Let Y be the surface formed by gluing together opposite sides of R_0^0 by orientation reversing isometries of the edges. Since these edges are parallel, the edges are glued by translation and the

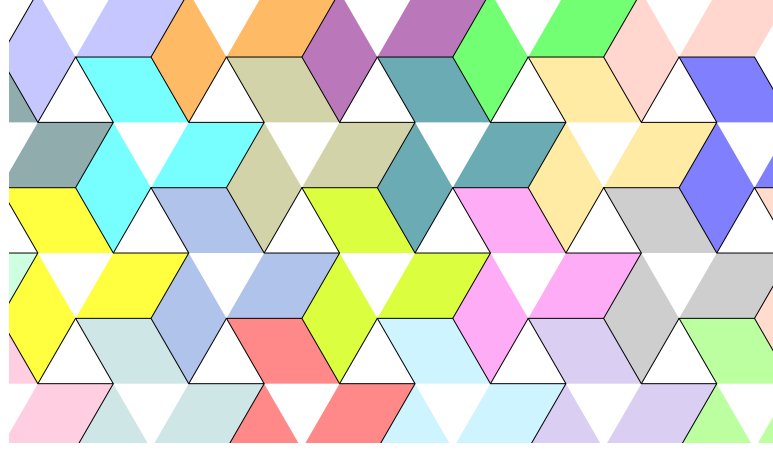


Figure 12: A decomposition of the hexagons into subsets of three rhombi. Triples of rhombi surrounding downward pointing triangles are shown in the same color and outlined.

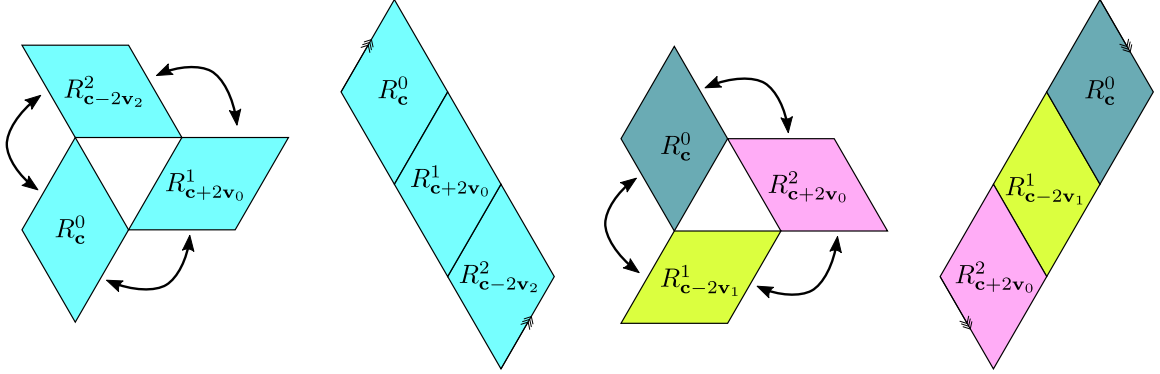


Figure 13: Far left: A triple of rhombi surrounding an downward-pointing triangle. Arrows denote gluings used to form a cylinder in S . Second to left: The cylinder obtained by gluing these edges. Right two figures: The corresponding pictures for rhombi surrounding an upward-pointing triangle. Colors were chosen to match Figure 12.

surface Y is a torus. The torus Y is depicted in Figure 14. We use Y° to denote the torus Y with the single point formed by identifying the vertices of the rhombus removed.

Proposition 3.2. *The map from the union of interiors of rhombi to the interior of R_0^0 which carries the interior of each R_c^i isometrically to the interior of R_0^0 in a manner which respects the edge labels by $\{1, 2, 3, 4\}$ extends to a covering map $\pi : S \rightarrow Y^\circ$.*

Proof. First we must check that the map from the interior of a rhombus R_c^i to R_0^0 is well defined. Recall from the definition of R_c^i and the labeling, the center of the hexagon H_c is the vertex of R_c^i which belongs to the edges with labels 1 and 4. This is always an obtuse angle. Since our rhombi are labeled by $\{1, 2, 3, 4\}$ in counterclockwise order, all rhombi are isometric by a orientation-preserving and label-preserving isometry. Thus the map π is well defined on the interiors of rhombi.

To see that we can extend to the boundaries, note that the edge gluings of both S and Y are by orientation reversing isometries between edges. From (4) we observe that the gluing rules always identify an edge labeled 1 with an edge labeled 3 and always identify an edge labeled 2 with an

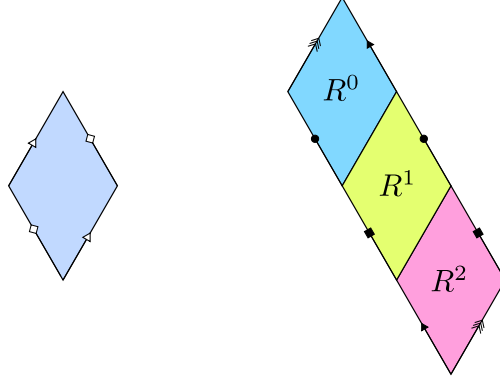


Figure 14: The tori Y (left) and Z (right).

edge labeled 4. This was also the choice used to form Y from R_0^0 . Therefore, we can extend the map to edges in a well defined way. \square

A *translation surface* is a topological surface with an atlas of charts to the plane so that the transition functions are translations.

Corollary 3.3. *The surface S is a translation surface.*

Proof. The universal cover of the torus Y is the plane, and the deck group acts by translation. We get local charts from S to the plane by lifting the restriction of the covering $\pi : S \rightarrow Y$ to some local coordinate chart. With this collection of local charts, the transition functions are restrictions of deck group elements. \square

Since S is a translation surface, we can make a consistent choice of a notion of direction on S . We will fix one notion of direction. There is a natural notion of direction on Y coming from the notion of direction on $R_0^0 \subset \mathbb{R}^2$. We lift this notion of direction to S under the map $\pi : S \rightarrow Y$ defined in the proposition above. By this convention, the rightward horizontal unit vector field on S can be pieced together from the constant unit vector field for each rhombus R_c^i in the direction parallel to the short diagonal and pointed away from the center of the hexagon H_c (which is also the vertex which is an endpoint of edges labeled 1 and 4). We will identify the direction of a tangent vector of S using the angle in $\mathbb{R}/2\pi\mathbb{Z}$ made with this horizontal vector field.

On a translation surface, the geodesic flow decomposes into natural invariant sets. For $\theta \in S^1$, the straight-line flow on S is given in local coordinates by

$$F_\theta^s(\mathbf{x}) = \mathbf{x} + s(\cos \theta, \sin \theta).$$

The flow F_θ is said to be *completely periodic* if every non-singular trajectory of F_θ is periodic. For compact translation surfaces and covers of such surfaces, F_θ is completely periodic if and only if there is a decomposition of the surface into cylinders with geodesic core curves parallel to θ .

We will now formalize the relationship between the refractive flow $T_{\theta,+}$ and the straight line flow F_θ : these two flows are orbit equivalent. A continuous *orbit equivalence* between two flows is a homeomorphism between the domains of the flows which carries trajectories to trajectories.

Theorem 3.4 (Orbit equivalence). *Fix an angle θ with $\frac{\pi}{3} \leq \theta \leq \frac{2\pi}{3}$. There is a continuous orbit equivalence $\mathbf{x} : S \rightarrow X_{\theta,+}$ depending on θ from the flow $F_\theta^s : S \rightarrow S$ to the flow $T_{\theta,+}^t : X_{\theta,+} \rightarrow X_{\theta,+}$. This orbit equivalence respects the orientations on trajectories provided by the flows. Furthermore, the orbit equivalence carries the Lebesgue-transverse measure on S (transverse to the orbit foliation of F_θ^s) to the Lebesgue-transverse measure on $X_{\theta,+}$ (transverse to the orbit foliation of $T_{\theta,+}^t$). There is a constant L so that the restriction of \mathbf{x} to any trajectory $\{F_\theta^s(p) : s \in \mathbb{R}\}$ is an L -bilipschitz map onto the trajectory $\{T_{\theta,+}^t \circ \mathbf{x}(p) : t \in \mathbb{R}\}$ where the trajectories are endowed with metrics making these flows unit speed.*

Proof. Fix θ as in the theorem and consider the straight-line flow F_θ^s on S in direction θ measured with respect to the horizontal vector field on S . Let $\Delta_S \subset S$ denote the union of the short diagonals of the rhombi making up S . These diagonals are horizontal because of our notion of direction on S defined above. Observe that Δ_S is a section for the flow straight-line flow F_θ^s on S . Given a point $p \in S \setminus \Delta_S$, we associate a positive and a negative number:

$$s_+(p) = \min\{s > 0 : F_\theta^s(p) \in \Delta_S\} \quad \text{and} \quad s_-(p) = \max\{s < 0 : F_\theta^s(p) \in \Delta_S\}.$$

Now consider the flow $T_{\theta,+} : X_{\theta,+} \rightarrow X_{\theta,+}$. Recall from the introduction that $X_{\theta,+}$ is refractive flow-invariant subset of the unit tangent bundle of the plane; see the introduction. We define $\Delta_T \subset X_{\theta,+}$ to be those unit tangent vectors based on the short diagonal of one of our rhombi and traveling in a direction making angle of θ with diagonal oriented outward from the center of the containing hexagon (corresponding to the horizontal direction on S). In light of Lemma 3.1, we see that Δ_T forms a section for the flow.

Now we will define the orbit equivalence $\mathbf{x} : S \rightarrow X_{\theta,+}$. We define $\mathbf{x}(p)$ by cases. First suppose that $p \in \Delta_S$. Then p is some point on some short diagonal of a rhombus in S . The same rhombus is also a subset of a hexagon in the plane. We define $\mathbf{x}(p)$ to be the unit tangent vector based at the corresponding point in the plane with a direction which makes an angle of θ with the short diagonal oriented outward from the center of the hexagon. Observe that with this definition of \mathbf{x} , the map sends the Lebesgue transverse measure on Δ_S to the Lebesgue transverse measure on Δ_T . Now suppose that $p \in S \setminus \Delta_S$. Then the points

$$p_- = F_\theta^{s_-(p)}(p) \quad \text{and} \quad p_+ = F_\theta^{s_+(p)}(p)$$

lie in Δ_S . Define $\mathbf{x}_- = \mathbf{x}(p_-)$ and $\mathbf{x}_+ = \mathbf{x}(p_+)$ using the first case defined above. Then $\mathbf{x}_-, \mathbf{x}_+ \in \Delta_T$. Observe that the p_+ is the first return of p_- to Δ_S , and by Lemma 3.1 \mathbf{x}_+ is the first return of \mathbf{x}_- to Δ_T . The quantity $s_+(p) - s_-(p)$ represents the amount of time it takes p_- to reach p_+ . Similarly there is some time t_* representing the time it takes \mathbf{x}_- to reach \mathbf{x}_+ . We define:

$$\mathbf{x}(p) = T^t(\mathbf{x}_-) \quad \text{where} \quad t = \frac{-t_* s_-(p)}{s_+(p) - s_-(p)}.$$

This completes the definition of $\mathbf{x} : S \rightarrow X_{\theta,+}$. Observe that on the orbit segment from p_- to p_+ , we have rescaled time affinely by a factor of $r = \frac{t_*}{s_+(p) - s_-(p)}$, i.e.:

$$\mathbf{x} \circ F_\theta^s(p) = T_{\theta,+}^{rs} \circ \mathbf{x}(p) \quad \text{for all } s \in [s_-(p), s_+(p)].$$

See Figure 15 for an illustrated example. We have that $s_+(p) - s_-(p) \leq t_* \leq 2 + s_+(p) - s_-(p)$ because by Lemma 3.1 the portion of the trajectory through $\mathbf{x}(p)$ between returns to Δ_T is formed by adding a passage through an equilateral triangle and its reflection (also see Figure 12). This proves that the deformation in the flow direction is bilipschitz and that the constant can be taken to be independent of p . \square

Proof of Theorem 1.8. Consider a refractive trajectory and suppose it is bounded and non-singular. Up to a symmetry of the tiling, by Proposition 2.6 we may assume that we are considering an orbit of $T_{\theta,+}$ for some $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$. The preimage of our trajectory under the orbit equivalence of Theorem 3.4 is a bounded non-singular straight-line trajectory on S .

Since the trajectory on S is bounded, it only visits finitely many rhombi making up the surface. We can then build a closed (compact) translation surface S' using only the rhombi in S which our trajectory intersects. We need to specify the edge gluings for S' . If both of two adjacent rhombi in S are included in S' then we glue them together in the same way. This will leave some edges unglued and since the trajectory does not cross these edges, we can glue them together in an arbitrary way ensuring that we get a translation surface. With this definition of S' our trajectory also represents a trajectory on S' .

We now use the following basic fact: The closure of a non-periodic and non-singular straight-line trajectory on a flat surface is either the full translation surface or a subsurface bounded by

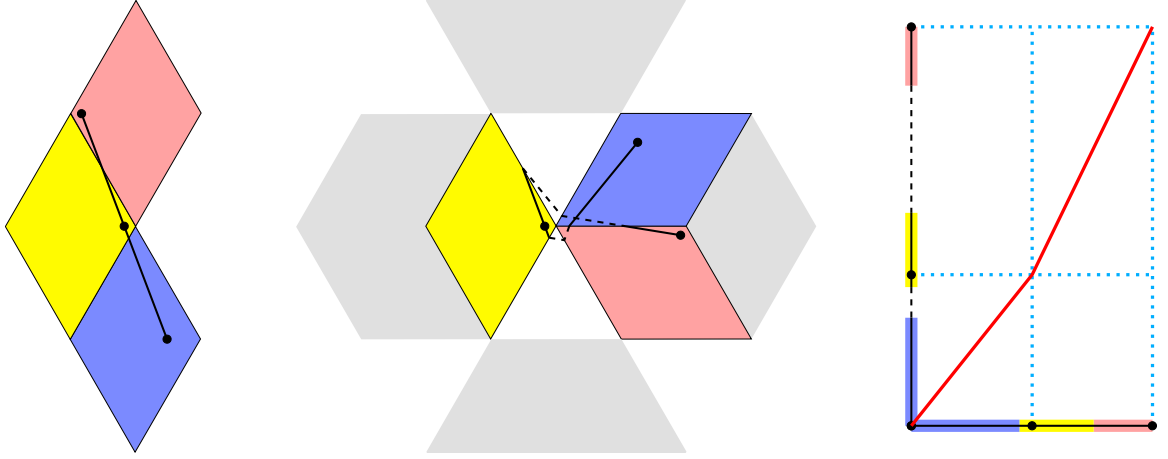


Figure 15: Left: A trajectory on S . Center: The corresponding trajectory in the tiling. Right: The graph of the time change.

saddle connections in the direction of the trajectory [11] [22, Proof of Theorem 1.8]. Our trajectory in S' is not dense in S' , so it is either periodic (Case I), or dense in a subsurface of S' bounded by saddle connections parallel to the trajectory (Case II).

Case I is our desired conclusion, so assume by contradiction that Case II holds, i.e., our trajectory is dense in a subsurface of S' bounded by parallel saddle connections. The surface S' is a finite cover of the torus built from one of our rhombi. Thus, all saddle connections are parallel to a vector in Λ , and in addition, straight-line flows on S' in directions in Λ are completely periodic since S' is a finite branched cover of \mathbb{R}^2/Λ . It follows that our trajectory on S' is parallel to a vector in Λ and is therefore periodic. This contradicts the density of our trajectory in a subsurface and rules out Case II. \square

4 Hidden symmetries

4.1 Background on symmetries of translation surfaces

A *translation automorphism* of a translation surface is a homeomorphism from the surface to itself which preserves the translation structure. A homeomorphism is a translation automorphism if and only if it acts as a translation in local coordinate charts. The collection of all translation automorphisms of a surface form a group $Trans(S)$.

An *affine automorphism* of a translation surface S is a homeomorphism from the surface to itself which preserves the affine structure underlying the translation surface structure. In other words, the homeomorphism must act affinely in local coordinates. In a connected translation surface, this means that there is a matrix $M \in GL(2, \mathbb{R})$ such that in local coordinate charts the homeomorphism h has the form

$$h \begin{pmatrix} x \\ y \end{pmatrix} = M \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} c_0 \\ c_1 \end{pmatrix},$$

where (c_0, c_1) is a vector that depends on the charts. The matrix M is independent of the chart, and we call this the *derivative* of the affine automorphism, $D(h)$.

The group of affine automorphisms of a translation surface forms a group $Aff(S)$, and the group $V(S) = D(Aff(S)) \subset GL(2, \mathbb{R})$ is the *Veech group* of S . We observe that $Trans(S)$ is a normal subgroup of $Aff(S)$ since it is the kernel of the derivative homomorphism $D : Aff(S) \rightarrow GL(2, \mathbb{R})$, and $V(S)$ is isomorphic to the quotient $Aff(S)/Trans(S)$.

A cylinder $C \subset S$ is a subset of a translation surface isometric to $\mathbb{R}/c\mathbb{Z} \times (0, h)$. We call the constant c the *circumference* of the cylinder and h the *height*. The *inverse modulus* of C is the ratio c/h .

Core curves in $\mathbb{R}/c\mathbb{Z} \times (0, h)$ have the form $\mathbb{R}/c\mathbb{Z} \times \{y\}$ for some $y \in (0, h)$. These are closed straight-line trajectories on the surface and we say the *direction* of the cylinder is the direction of travel of these trajectories in the projectivization $P\mathbb{R}^2$. A *decomposition* of S into cylinders is a collection of disjoint cylinders $\{C_i\}$ with the same direction so that the collection of closures of cylinders covers S .

Proposition 4.1 (Thurston [27]). *Suppose that a translation surface S admits a decomposition into cylinders in the direction of the unit vector \mathbf{u} where all cylinders have the same inverse modulus, λ . Then there is an affine automorphism ϕ of S which performs a single right Dehn twist in all cylinders in the decomposition and*

$$D(\phi) = R \circ \begin{bmatrix} 1 & \lambda \\ 0 & 1 \end{bmatrix} \circ R^{-1}$$

where R is the rotation carrying the vector $(1, 0)$ to \mathbf{u} .

4.2 An abelian covering

The subgroup $2\Lambda \subset \mathbb{R}^2$ acts on the plane by translation and preserves the tiling. It also preserves the collection of rhombi. Indeed, we get an action of 2Λ on rhombi defined so that for $\mathbf{w} \in 2\Lambda$,

$$\tau_{\mathbf{w}}(R_{\mathbf{c}}^i) = R_{\mathbf{c}+\mathbf{w}}^i \quad \text{for all } i \in \{0, 1, 2\} \text{ and all } \mathbf{c} \in 2\Lambda. \quad (5)$$

Furthermore τ preserves edge labels. It follows:

Proposition 4.2. *The action τ of 2Λ on rhombi induces an action of 2Λ on S by translation automorphisms.*

Proof. Observe that translation by $\mathbf{w} \in 2\Lambda$ preserves edge gluings; see (4). Since labels of edges are respected, each automorphism acts as a translation in local coordinates of the translation surface. \square

Define $Z^\circ = S/2\Lambda$. Since the τ -orbit of each $R_{\mathbf{c}}^i$ consists of all $R_{\mathbf{w}}^i$ with $\mathbf{w} \in 2\Lambda$, the surface Z° consists of three rhombi with edges identified and vertices removed. Topologically Z° is a torus punctured at three points (points appearing as vertices of rhombi). We define Z to be the torus obtained by adding the three points to the surface. See Figure 14.

By construction, $\pi : S \rightarrow Z^\circ$ is a regular cover with deck group 2Λ . Since the cover is regular, we can define the *monodromy homomorphism* from the fundamental group. We choose a basepoint on $z_0 \in Z^\circ$ and a basepoint on $s_0 \in S$ so that $\pi(s_0) = z_0$. Given a loop γ based at z_0 , we can lift to a curve $\tilde{\gamma}$ starting at s_0 and terminating at a point $h(\gamma)(z_0)$ where $h(\gamma)$ is an element of the deck group. Because our deck group 2Λ is abelian, the monodromy homomorphism is well defined as a map on homology,

$$h : H_1(Z^\circ; \mathbb{Z}) \rightarrow 2\Lambda. \quad (6)$$

We will now provide an explicit description of the map h . Let $\Sigma \subset Z$ be the three points of $Z \setminus Z^\circ$. Recall that algebraic intersection number gives a non-degenerate pairing

$$\cap : H_1(Z, \Sigma; \mathbb{Z}) \times H_1(Z^\circ; \mathbb{Z}) \rightarrow \mathbb{Z}.$$

Proposition 4.3. *Let $\eta_0, \eta_1 \in H_1(Z, \Sigma; \mathbb{Z})$ be the two relative homology classes depicted on the right side of Figure 16. We have*

$$h(\gamma) = 2(\eta_0 \cap \gamma)\mathbf{v}_0 + 2(\eta_1 \cap \gamma)\mathbf{v}_1,$$

where \mathbf{v}_0 and \mathbf{v}_1 are as in (2).

Proof. By linearity, it suffices to check the equation for the basis $\{\alpha, \beta_0, \beta_1, \beta_2\}$ of $H_1(Z^\circ; \mathbb{Z})$ which consists of the closed curves in Z° depicted in the left side of Figure 16. First we need to see what h does to this basis. For this, we need to lift the curves to S and find a deck group element for each curve as noted above. Since the deck group was defined using the tiling, we lift the curves to S , and then carry the curves to the tiling using the rhombi. By definition of S , this is equivalent to

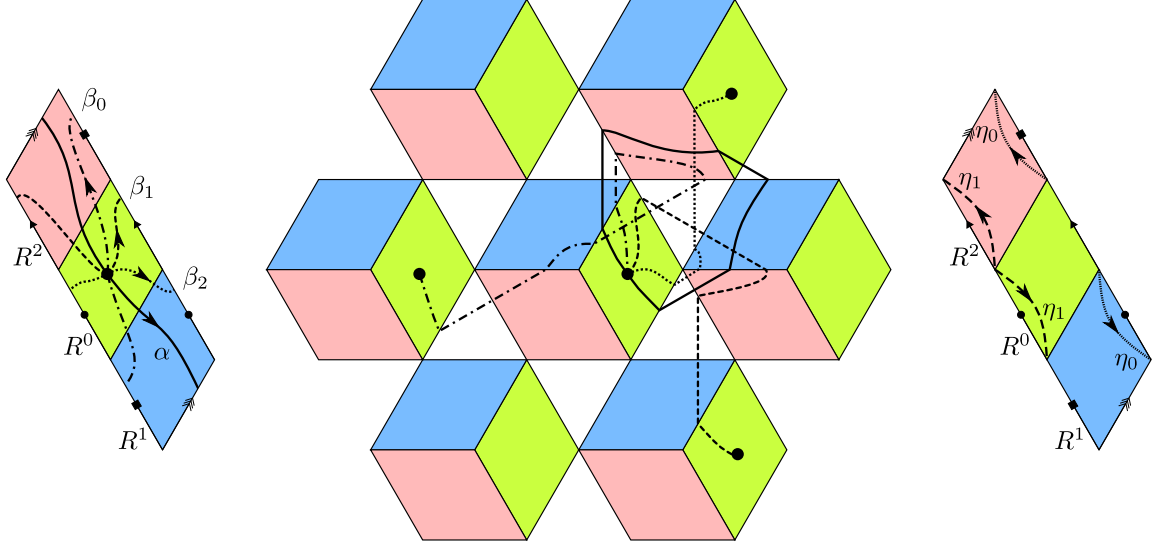


Figure 16: Left: Curves generating the fundamental group of Z° . Center: These same curves on the tiling with line segments joining the arcs within rhombuses according to the gluings defining S . Right: The relative homology classes η_0 and η_1 .

developing the curves into the tiling and whenever you cross an edge you use the edge gluings of S to decide how to develop across the edge. This is carried out in the center of Figure 16. We find that

$$h(\alpha) = \mathbf{0} \quad \text{and} \quad h(\beta_i) = -2\mathbf{v}_i \quad \text{for } i \in \{0, 1, 2\}. \quad (7)$$

This evaluates the left side of the equation in the proposition on the basis.

The right side of the equation in the proposition involves intersection numbers with the classes η_0 and η_1 . Observe that α does not intersect these classes, while

$$\eta_0 \cap \beta_0 = \eta_1 \cap \beta_1 = -1, \quad \eta_0 \cap \beta_1 = \eta_1 \cap \beta_0 = 0, \quad \text{and} \quad \eta_0 \cap \beta_2 = \eta_1 \cap \beta_2 = 1.$$

Using these algebraic intersection numbers to evaluate the expression on the right side of the equation yields the same results as (7). \square

4.3 Lifting affine automorphisms

Since Z° is “parallelogram-tiled,” it has a lattice Veech group. This guarantees there are many affine automorphisms of Z° . We now consider which of these lift to S . It turns out they all lift:

Lemma 4.4. *Let $\pi : S \rightarrow Z^\circ$ denote the covering map. For any affine automorphism $f : Z^\circ \rightarrow Z^\circ$, there is an affine automorphism $\tilde{f} : S \rightarrow S$ so that $f \circ \pi = \pi \circ \tilde{f}$.*

We carry out the proof using ideas from [16]. It follows from work there that there can be only one \mathbb{Z}^2 -cover of Z° so that straight-line flow recurs in almost every direction; see [16, §4]. The surface S turns out to be this unique cover.

Proposition 4.5. *The collection of six homology classes*

$$\{\eta_0, -\eta_0, \eta_1, -\eta_1, \eta_0 - \eta_1, -\eta_0 + \eta_1\} \subset H_1(S, \Sigma; \mathbb{Z})$$

is invariant under the action of the affine automorphism group of Z° .

For the proof we need the concept of holonomy. The *holonomy* of a curve in a translation surface obtained by developing the curve into the plane using local coordinates and then measuring the vector difference between the start and end points. This concept of holonomy induces a linear mapping $\text{hol} : H_1(Z, \Sigma; \mathbb{Z}) \rightarrow \mathbb{R}^2$.

Proof. We will explain why these six classes are canonical in an affine-invariant sense. First of all they have trivial holonomy which is certainly an affinely invariant concept. Second, consider the boundary map

$$\delta : H_1(Z, \Sigma; \mathbb{Z}) \rightarrow H_0(\Sigma; \mathbb{Z}).$$

Note that δ is equivariant under the induced actions of a homeomorphism of (Z, Σ) . Furthermore, the action of homeomorphisms on $H_0(\Sigma; \mathbb{Z})$ is by permutation matrices. We observe that a class in $H_1(Z, \Sigma; \mathbb{Z})$ is determined by its holonomy and its image under δ . This is because two elements with the same image under δ differ by absolute homology classes in $H_1(Z; \mathbb{Z})$, but absolute homology classes have non-trivial holonomy since Z is a flat torus.

Observe that the six classes in the Proposition have images under δ which when considered as functions on Σ take the values $-1, 0$ and 1 . The fact that these classes have trivial holonomy and have an image $\{-1, 0, 1\}$ in this sense characterizes our collection of classes and from the above this collection must be invariant under all affine automorphisms. \square

Proof of Lemma 4.4. Let $f : Z^\circ \rightarrow Z^\circ$ be a homeomorphism. From covering theory, f lifts to a homeomorphism $\tilde{f} : S \rightarrow S$ if and only if the induced action on homology $f_* : H_1(Z^\circ; \mathbb{Z}) \rightarrow H_1(Z^\circ; \mathbb{Z})$ preserves the kernel $\ker h$, where h is as defined in (6). By Proposition 4.3, $\ker h$ consists of those classes whose algebraic intersection numbers with η_0 and η_1 are zero. Observe that the span of η_0 and η_1 in $H_1(Z, \Sigma; \mathbb{Z})$ is 2-dimensional and coincides with the span of the six classes of Proposition 4.5. When f is an affine homeomorphism, these six classes are preserved by the action of f and it follows that their span $W \subset H_1(Z, \Sigma; \mathbb{R})$ is also preserved. The kernel can then be written

$$\ker h = \{\gamma \in H_1(Z^\circ; \mathbb{Z}) : \eta \cap \gamma = 0 \text{ for all } \eta \in W\}.$$

which is f_* -invariant under because W is invariant. \square

4.4 Hyperbolic geometry

To understand and visualize the Veech groups of translation surfaces, it is useful to consider the hyperbolic geometry of these groups.

We will be using the fact that $\text{PGL}(2, \mathbb{R})$ is the isometry group of the hyperbolic plane $\mathbb{H}^2 = O(2) \backslash \text{PGL}(2, \mathbb{R})$. From this point of view, the isometric action is given by right multiplication. The subgroup $\text{PSL}(2, \mathbb{R}) \subset \text{PGL}(2, \mathbb{R})$ forms the unit tangent bundle of the hyperbolic plane. The geodesic flow on the unit tangent bundle is then given by the left multiplication action of

$$g_t = \begin{bmatrix} e^{-t} & 0 \\ 0 & e^t \end{bmatrix} \quad (8)$$

on $\text{PSL}(2, \mathbb{R})$.

The upper half-plane model of \mathbb{H}^2 identifies points in \mathbb{H}^2 with complex numbers with positive imaginary part. To be concrete, we choose our identification so that $M \in \text{PSL}(2, \mathbb{R})$ represents a unit tangent vector based at

$$\frac{di - b}{a - ci} \quad \text{when} \quad M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}; \quad (9)$$

this point coincides with the projectivization of the vector $M^{-1}(i, 1) \in \mathbb{C}^2$. In particular, the isometric action of $\text{PGL}(2, \mathbb{R})$ on the upper half plane is given by

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} : z \mapsto \begin{cases} \frac{dz - b}{a - cz} & \text{if } ad - bc > 0, \\ \frac{d\bar{z} - b}{a - c\bar{z}} & \text{if } ad - bc < 0. \end{cases}$$

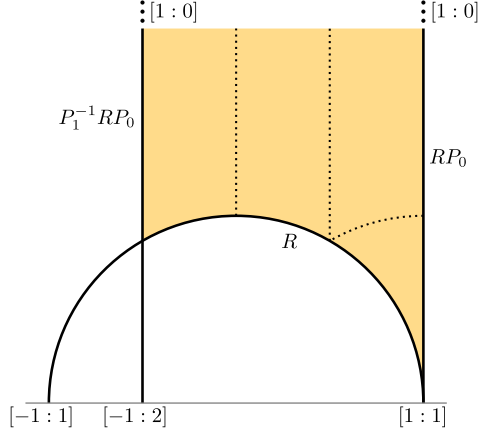


Figure 17: A fundamental domain for the action of $PV(Z^\circ)$ on the hyperbolic plane. Edges are labeled by matrices reflecting in that edge. The domain is divided into fundamental regions for the action of $PGL(2, \mathbb{Z})$ by isometry.

(The action of $M \in \text{PSL}(2, \mathbb{R})$ is by the inverse of the usual Möbius transformation associated to M .)

With these conventions, i is the point in the upper half-plane represented by the coset $O(2)$ of $\mathbb{H}^2 = O(2) \backslash \text{PGL}(2, \mathbb{R})$. Let $r_\theta \in O(2)$ be the vector which rotates the vector $(1, 0)$ to $(\cos \theta, \sin \theta)$. As $t \rightarrow \infty$, the θ -direction of the plane is contracted by $g_t r_\theta^{-1}$. This is the geodesic leaving i in the upper half-plane at an angle of -2θ from the vertical. The geodesic limits on $\cot(\theta) \in \mathbb{R} \cup \{\infty\}$ as $t \rightarrow +\infty$. (This geodesic coincides with the $g_t(\vec{u}_\theta)$ when $0 \leq \theta \leq \frac{\pi}{2}$ in the introduction.)

4.5 The affine automorphism groups

We will now work out some facts about the affine automorphism group and the Veech group of Z° .

We observe using Proposition 4.1 that $\text{Aff}(Z^\circ)$ contains the following elements:

- The right Dehn twist ϕ_1 in the single maximal cylinder in direction \mathbf{v}_1 .
- The right Dehn twist ϕ_2 in the single maximal cylinder in direction \mathbf{v}_2 .
- The right Dehn twist ϕ_0 in the three maximal cylinders in direction \mathbf{v}_0 .

In addition, by inspection we can find the following orientation reversing element:

- There is an affine automorphism ρ of Z° which preserves each of the three rhombi making up Z and acts as a reflection in the short diagonal of each rhombus.

We let $P_i = D(\phi_i)$ and $R = D(\rho)$ and by computation find that in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$:

$$P_1 = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix}, \quad P_2 = \begin{bmatrix} 1 & 0 \\ -3 & 1 \end{bmatrix}, \quad P_0 = \begin{bmatrix} 0 & 1 \\ -1 & 2 \end{bmatrix}, \quad R = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}. \quad (10)$$

Finally, we note that there is an affine automorphism with derivative $-I$ which preserves rhombus R_0 and swaps rhombus R_1 with R_2 . This is convenient because it means that $V(Z^\circ) \subset \text{GL}(2, \mathbb{R})$ is the preimage of a subgroup $PV(Z^\circ) \subset \text{PGL}(2, \mathbb{R})$ under the natural map $\text{GL}(2, \mathbb{R}) \rightarrow \text{PGL}(2, \mathbb{R})$.

Proposition 4.6. *The projectivized Veech groups $PV(Z^\circ)$ and $PV(S)$ are isomorphic to the reflection group in a $(3, \infty, \infty)$ -triangle (i.e., a hyperbolic triangle with one angle of $\frac{\pi}{3}$ and two ideal vertices). Reflections generating the Veech group are given by R , RP_0 , and $P_1^{-1}RP_0$.*

Proof. By Lemma 4.4, it suffices to prove the statement for $PV(Z^\circ)$. A matrix calculation reveals

$$RP_0 = \begin{bmatrix} -1 & 2 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad P_1^{-1}RP_0 = \begin{bmatrix} -1 & -1 \\ 0 & 1 \end{bmatrix}.$$

We consider the standard matrix action on the hyperbolic plane realized as the upper half plane bounded by the projectivization of \mathbb{R}^2 . It may be verified that a fundamental domain for this action is shown in Figure 17.

From remarks above the proposition we know that $G = \langle R, RP_0, P_1^{-1}RP_0 \rangle$ is contained in $PV(Z^\circ)$. To see that $G = PV(Z^\circ)$ observe that because \mathbb{Z}° covers the torus (which is “square” with respect to the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$), we must have $PV(Z^\circ) \subset \text{PGL}(2, \mathbb{Z})$. By a covolume calculation one can see that $\langle R, RP_0, P_1^{-1}RP_0 \rangle$ is index four inside $\text{PGL}(2, \mathbb{Z})$. But $PV(Z^\circ)$ must be at least index three inside $\text{PGL}(2, \mathbb{Z})$ since there are elements of $M \in \text{PGL}(2, \mathbb{Z})$ so that $M, M^2 \notin PV(Z^\circ)$ but $M^3 \in PV(Z^\circ)$. (If the left cosets $M \cdot PV(Z^\circ)$ and $M^2 \cdot PV(Z^\circ)$ are not distinct then you can show $M \in PV(Z^\circ)$.) A primary example of such an M is given by

$$M = \begin{bmatrix} 0 & -1 \\ 1 & -1 \end{bmatrix} \tag{11}$$

which cyclically permutes the vectors $\mathbf{v}_0, \mathbf{v}_1$ and \mathbf{v}_2 . Note that \mathbf{v}_0 is geometrically different than \mathbf{v}_1 and \mathbf{v}_2 in that the cylinder decompositions are different; see the definitions of ϕ_i above. By multiplicativity of subgroup indices,

$$[G : \text{PGL}(2, \mathbb{Z})] = [G : PV(Z^\circ)] \cdot [PV(Z^\circ) : \text{PGL}(2, \mathbb{Z})].$$

Since $[PV(Z^\circ) : \text{PGL}(2, \mathbb{Z})] \geq 3$ and $[G : \text{PGL}(2, \mathbb{Z})] = 4$, we must have $[PV(Z^\circ) : \text{PGL}(2, \mathbb{Z})] = 4$ and $[G : PV(Z^\circ)] = 1$, i.e., $G = PV(Z^\circ)$. \square

Corollary 4.7. *The region $\Delta \subset \mathbb{H}^2$ of Figure 2 is a fundamental domain for the action of $PV(Z^\circ)$ (written in the standard basis) on \mathbb{H}^2 .*

Proof. Above we have done calculations in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$. Matrices in the standard basis can be obtained from matrices in basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ by conjugating by the matrix C whose columns are \mathbf{v}_1 and \mathbf{v}_2 . In particular multiplication by C carries a fundamental domain for $PV(Z^\circ)$ in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ to the fundamental domain in the standard basis. Let R be the matrix from (10) which is an element of $V(Z^\circ)$ written in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$. To obtain Δ , we apply CR to the fundamental domain in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$ shown in Figure 17. \square

The proposition above found generators for $PV(Z^\circ)$. We will now give a method for distinguishing when an element $M \in \text{GL}(2, \mathbb{Z})$ represents an action of an element in $V(Z^\circ)$ in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$. Let \mathbb{Z}_{vis}^2 denote the set of non-zero pairs $(m, n) \in \mathbb{Z}^2$ consisting of points visible from the origin in \mathbb{R}^2 . Equivalently, \mathbb{Z}_{vis}^2 is those pairs (m, n) which are not both zero and satisfy $\gcd(m, n) = 1$. Observe that \mathbb{Z}_{vis}^2 is $\text{GL}(2, \mathbb{Z})$ -invariant. We define

$$\Xi = \{(m, n) \in \mathbb{Z}_{vis}^2 : m \equiv n \pmod{3}\}. \tag{12}$$

Theorem 4.8. *The Veech groups $V(Z^\circ)$ and $V(S)$, thought of as a subset of $\text{GL}(2, \mathbb{Z})$ by writing the elements in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$, are given by $\{M \in \text{GL}(2, \mathbb{Z}) : M(\Xi) = \Xi\}$. Furthermore, the actions of these groups on Ξ and $\mathbb{Z}_{vis}^2 \setminus \Xi$ are both transitive.*

Proof. Write $G = \{M \in \text{GL}(2, \mathbb{Z}) : M(\Xi) = \Xi\}$. By Lemma 4.4, it suffices to prove the statement for $V(Z^\circ)$. To see $V(Z^\circ) \subset G$, it suffices to show that the generators of $V(Z^\circ)$ lie in G . By Proposition 4.6 the group elements R, P_0, P_1 , and P_2 of (10) together with $-I$ generate $V(Z^\circ)$. Each can be shown to lie in G by a simple calculation which we demonstrate for the case of P_0 . Suppose $(m, n) \in \Xi$. Then $\gcd(m, n) = 1$ and there is an integer k so $n = m + 3k$. Observe $P_0(m, n) = (n, 2n - m)$. Since $P_0 \in \text{GL}(2, \mathbb{Z})$ we know that $\gcd(n, 2n - m) = 1$. Observe $2n - m = n + (n - m) = n + 3k$ so $n \equiv 2n - m \pmod{3}$. This proves $P_0 \in G$.

To show $V(Z^\circ) = G$ we use an index argument similar to the end of the proof of Proposition 4.6. We will show $[V(Z^\circ) : G] = 1$. From the paragraph above we have $V(Z^\circ) \subset G \subset \text{GL}(2, \mathbb{Z})$ and therefore

$$4 = [V(Z^\circ) : \text{GL}(2, \mathbb{Z})] = [V(Z^\circ) : G] \cdot [G : \text{GL}(2, \mathbb{Z})]. \quad (13)$$

Consider the matrix $M \in \text{GL}(2, \mathbb{Z})$ of (11) and observe that $(1, 1) \in \Xi$ while $M(1, 1) = (-1, 0)$ and $M^2(1, 1) = (0, -1)$. Thus $M, M^2 \notin G$ while $M^3 \in G$. We conclude that $[G : \text{GL}(2, \mathbb{Z})] \geq 3$. From (13), we have $[V(Z^\circ) : G] \leq \frac{4}{3}$ so $[V(Z^\circ) : G] = 1$ as desired.

Now we will show that $G \subset V(Z^\circ)$. Both G and $V(Z^\circ)$ are subgroups of $\text{GL}(2, \mathbb{Z})$ and both contain $-I$. So it suffices to prove that $PG \subset PV(Z^\circ)$ where P denotes the projection to $\text{PGL}(2, \mathbb{Z})$. A fundamental domain D_V for the action of $PV(Z^\circ)$ on \mathbb{H}^2 is shown in Figure 17 and tiled by four fundamental domains for the action of $\text{PGL}(2, \mathbb{Z})$ on \mathbb{H}^2 . It follows that $PV(Z^\circ)$ is index four in $\text{PGL}(2, \mathbb{Z})$.

Algebraically, cusps of the quotient of the hyperbolic plane, $\mathbb{H}^2/V(Z^\circ)$, are $V(Z^\circ)$ -orbits in $\mathbb{Z}_{vis}^2/-1$ where -1 is acting by scalar multiplication. (Concretely, monodromy around the cusp gives a conjugacy class of parabolics, whose collective eigenspaces constitute such a $V(Z^\circ)$ -orbit in the real projective line. Since $-I \in V(Z^\circ)$, $V(Z^\circ)$ acts transitively on eigendirections rather than just eigenspaces.) By Proposition 4.6, there are two such cusps (also see Figure 17). Since $-I \in V(Z^\circ)$, this also means there are two orbits of $V(Z^\circ)$ in \mathbb{Z}_{vis}^2 . The paragraph above shows that Ξ is $V(Z^\circ)$ -invariant. It follows that the two $V(Z^\circ)$ -orbits corresponding to the cusps must be Ξ and $\mathbb{Z}_{vis}^2 \setminus \Xi$. This proves the last sentence of the theorem. \square

5 Periodic and drift-periodic directions

In this section, we explicitly describe the set \mathcal{P} of periodic directions, and the set \mathcal{D} of drift-periodic directions, and then give results about periodic and drift-periodic trajectories.

5.1 Characterization of periodic and drift-periodic trajectories

We establish the following corollary to Theorem 4.8.

Corollary 5.1 (Periodic directions on S). *Let $\mathbf{w} = m\mathbf{v}_1 + n\mathbf{v}_2 \in \Lambda_{vis}$ where $\Lambda_{vis} \subset \Lambda$ was defined in (3). Let $\theta = \frac{\mathbf{w}}{|\mathbf{w}|} \in S^1$. Then:*

1. *If $m \equiv n \pmod{3}$, then the straight-line flow F_θ on S is completely drift-periodic (i.e., for every non-singular F_θ -trajectory there is an infinite-order translation automorphism of S which preserves the trajectory.)*
2. *Otherwise, the straight-line flow F_θ on S is completely periodic.*

On the other hand, if θ is not parallel to any vector in Λ , then F_θ has no periodic or drift-periodic trajectories.

Proof. First we consider the special case when θ is horizontal. This direction may be represented as $\mathbf{v}_1 + \mathbf{v}_2$. There are three horizontal cylinders on Z° . All lift to strips on the surface S . To see this, refer to the left and central part of Figure 16; the three horizontal cylinders in Z° have core curves that are homologous to $\alpha + \beta_0$, β_1 and β_2 . Curves representing these classes do not lift to cylinders on S because the corresponding curves in the tiling do not close up; see the figure. Instead the cylinders lift to strips invariant under deck group elements $-2\mathbf{v}_0$, $-2\mathbf{v}_1$ or $-2\mathbf{v}_2$ respectively. By normality of the cover, S is covered by horizontal strips; i.e., the horizontal direction is completely drift-periodic.

Now consider (1). Here, we have $(m, n) \in \Xi$, see (12). The vector (m, n) represents \mathbf{v} in the basis $\{\mathbf{v}_1, \mathbf{v}_2\}$. By Theorem 4.8 there is an element $M \in V(Z^\circ)$ when written in this basis carries $(1, 1)$ to (m, n) . In standard Euclidean coordinates, this carries the horizontal vector $-\mathbf{v}_0 = \mathbf{v}_1 + \mathbf{v}_2$ to \mathbf{w} . By Lemma 4.4 M also represents an element of the Veech group $V(S)$. Since the horizontal direction is completely drift-periodic on S , the direction θ must also be completely drift-periodic.

Now consider the direction of \mathbf{v}_1 , represented by $(1, 0)$ in our usual basis. There is a single cylinder on Z° in direction the \mathbf{v}_1 , and its core curve is homomorphic to α shown in Figure 16. Observe that this cylinder lifts as a cylinder to S since the curve α lifts to a closed curve; see the middle of the figure. By normality again, the direction of \mathbf{v}_1 is completely periodic on S .

Now consider (2). In this case $(m, n) \notin \Xi$. Theorem 4.8 tells us there is an element $M \in V(Z^\circ)$ carrying $(1, 0)$ to (m, n) . Repeating the argument above, we see that the direction θ is completely periodic for S .

To see the final statement suppose that $p \in S$ has a periodic or drift periodic trajectory under F_θ for some $\theta \in S^1$. Let $\bar{p} \in Y^\circ$ be the image of p under the covering map to Y° as in Proposition 3.2. Let \bar{F}_θ denote straight-line flow in direction θ on Y° . In either case, \bar{p} has a periodic trajectory under \bar{F}_θ . But, Y is a torus and closed geodesics are parallel to vectors in Λ because of the particular geometry of this torus. (Edges of Y are glued by translations by vectors in Λ .) \square

Proof of Theorem 1.6. First suppose $\theta = \frac{\mathbf{w}}{|\mathbf{w}|}$ where $\mathbf{w} \in \Lambda_{vis} = \mathcal{P} \cup \mathcal{D}$. Note that by construction, \mathcal{P} and \mathcal{D} are invariant under the order twelve dihedral group preserving Λ . So, we may assume without loss of generality that $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$ and restrict to considering trajectories in $X_{\theta,+}$; see Proposition 2.6. As $\mathbf{w} \in \Lambda_{vis}$, we have $\mathbf{w} = m\mathbf{v}_1 + n\mathbf{v}_2$ where $\gcd(m, n) = 1$. As $\theta \in [\frac{\pi}{3}, \frac{2\pi}{3}]$, we have $\|\mathbf{w}\|_\circ \equiv 0 \pmod{3}$ if and only if $m \equiv n \pmod{3}$. In view of the orbit equivalence provided by Theorem 3.4, it follows from Corollary 5.1 that θ is a completely drift-periodic direction if $\theta \in \mathcal{D}$ and θ is a completely periodic direction if $\theta \in \mathcal{P}$.

To prove the stated converses, suppose θ is not-parallel to a vector in Λ . We may again assume by rotational symmetry that $\theta \in (\frac{\pi}{3}, \frac{2\pi}{3})$ and consider trajectories in $X_{\theta,+}$. The last statement of Corollary 5.1 guarantees that the straight-line flow in direction θ on S lacks both periodic and drift-periodic trajectories. From the orbit equivalence, so does the flow $T_{\theta,+}$. \square

Question 5.2. For a trajectory whose direction is parallel to a vector in Λ , what is the combinatorial period of the corresponding periodic or drift-periodic trajectory?

In [4], §5 explores the trihexagonal tiling, and answers this question for several directions in the lattice. For example, Proposition 5.10 in [4] says, in our notation, that for a direction of the form $-(3n - 2)\mathbf{v}_0 - (6n + 3)\mathbf{v}_1$, with $n \geq 1$, the trajectory is drift-periodic with combinatorial period $12n - 6$. As n increases, these approach the vertical direction. These directions correspond to the white vertices that are just to the right of the vertical line through the origin, on the first, third, fifth, etc. red hexagons in Figure 3. They have a similar result for trajectories approaching the direction $\pi/3$, our vector \mathbf{v}_2 ([4], Proposition 5.7).

The answer to this question is well understood for the square torus and square billiard table, and is explored for the double pentagon surface and the pentagonal table in [5].

5.2 Geometry of periodic and drift-periodic trajectories

Since S is a \mathbb{Z}^2 -cover of Z° , a cylinder $C \subset Z^\circ$ either lifts to a cylinder in S or the universal cover embeds into S . In the later, we call this embedded image in S a *strip* and denote it by \tilde{C} . For each such strip, there is pair of opposite elements $\pm \mathbf{w} \in 2\Lambda$ which when acting on S as an element in the Deck group preserves the strip \tilde{C} and whose action on the strip generates the deck group of the covering $\tilde{C} \rightarrow C$. Note that given $C \subset Z^\circ$, there are multiple choices of a lift of C , but if one of these lifts is a strip then they all are and the pair $\pm \mathbf{w}$ only depends on C so we denote it by $\pm \mathbf{w}(C)$. We call $\pm \mathbf{w}(C)$ the *deck group generators* of C .

If $C \subset Z^\circ$ is a cylinder a *holonomy vector* $\text{hol}(C) \in \mathbb{R}^2$ of C is a vector parallel to a core curve of C with length equal to the length that curve.

Proposition 5.3. Let $\theta = \frac{\mathbf{v}}{|\mathbf{v}|}$ be a drift-periodic direction on S with $\mathbf{v} = m\mathbf{v}_1 + n\mathbf{v}_2 \in \Lambda_{vis}$. Then Z° has a decomposition into three cylinders of equal area in direction θ . These three cylinders \mathbf{v} as a holonomy vector and can be indexed so that $\pm \mathbf{w}(C_i) = \pm 2\mathbf{v}_i$ for $i \in \{0, 1, 2\}$.

Proof. Fix θ and \mathbf{v} as above. By Theorem 4.8, there is an element $M \in V(Z^\circ)$ which carries $\mathbf{v}_0 = (1, 0)$ to \mathbf{v} . The holonomies of the three cylinders in the horizontal direction on Z° are each \mathbf{v}_0 , and it follows that the holonomy of vectors in direction θ are given by $M(\mathbf{v}_0) = \mathbf{v}$.

Let $\gamma_0 = \alpha + \beta_0$, $\gamma_1 = \beta_1$ and $\gamma_2 = \beta_2$ denote the homology classes of core curves of the three horizontal cylinders; see Figure 16. By definition of h in (6), the deck group generators of the horizontal cylinders are given by $\pm h(\gamma_i) = \pm 2\mathbf{v}_i$ for each i . Let $f : Z^\circ \rightarrow Z^\circ$ be an affine homeomorphism with derivative M . Then the core curves of cylinders in direction \mathbf{v} have homology classes given by $f_*(\gamma_i)$. Then by Proposition 4.3,

$$h \circ f_*(\gamma_i) = 2(\eta_0 \cap f_*(\gamma_i))\mathbf{v}_0 + 2(\eta_1 \cap f_*(\gamma_i))\mathbf{v}_1 = 2(f_*^{-1}(\eta_0) \cap \gamma_i)\mathbf{v}_0 + 2(f_*^{-1}(\eta_1) \cap \gamma_i)\mathbf{v}_1.$$

Since f^{-1} is an affine homeomorphism, Proposition 4.5 guarantees that $f_*^{-1}(\eta_0)$ and $f_*^{-1}(\eta_1)$ each take values of the form $\pm\eta_0$, $\pm\eta_1$ or $\pm(\eta_0 - \eta_1)$. Using this and linearity it follows that $h \circ f_*(\gamma_i)$. We have

$$\{\pm \mathbf{w}(C_i) : i = 0, 1, 2\} = \{\pm h \circ f_*(\gamma_i) : i = 0, 1, 2\} = \{\pm 2\mathbf{v}_0, \pm 2\mathbf{v}_1, \pm 2\mathbf{v}_2\}.$$

□

Corollary 5.4. *Drift-periodic trajectories of the tiling billiard $T : X \rightarrow X$ are preserved by translation by $2\mathbf{v}_0$, $2\mathbf{v}_1$ or $2\mathbf{v}_2$. For any drift periodic direction $\theta \in \mathcal{D}$ and any sign s , there are trajectories of $T_{\theta,s}$ which are invariant under each of $2\mathbf{v}_0$, $2\mathbf{v}_1$ and $2\mathbf{v}_2$.*

Notice that the drift-periodic trajectory on the right side of Figure 1 is preserved by a horizontal translation of distance 2; the preceding Corollary shows that every drift-periodic trajectory has this property, in one of the three directions parallel to edges of the tiling. This means that as we “zoom out” from a drift-periodic trajectory, it will converge to a line parallel to one of the three directions \mathbf{v}_0 , \mathbf{v}_1 or \mathbf{v}_2 .

Recall that the torus Z is a triple cover of the torus Y formed from a single rhombus; see Figure 14. So we have a chain of covers $S \rightarrow Z^\circ \rightarrow Y^\circ$, where Y° represents Y punctured at the identified vertices of the rhombus. The covers are all regular and the covering $S \rightarrow Y^\circ$ has a deck group which is isomorphic to a semidirect product of Z^2 and $\mathbb{Z}/3\mathbb{Z}$: Concretely, this deck group is the group of orientation preserving isometries of the plane which permute the rhombi in the decomposition of the hexagons while respecting the notion of direction on the rhombi (see Figure 10 and the discussion of direction below Corollary 3.3). Elements of this deck group either have order three or are translations.

Proposition 5.5. *Each cylinder of S is invariant under an order three element of the deck group of the cover of Y° .*

Proof. Let C be a maximal cylinder of S . Then by Corollary 5.1, core curves of C are parallel to a vector $\mathbf{w} = m\mathbf{v}_1 + n\mathbf{v}_2 \in \Lambda_{vis}$ with $m \not\equiv n \pmod{3}$. By Theorem 4.8 there is an element $M \in V(Z^\circ) = V(S)$ so that $M(\mathbf{v}_1) = \mathbf{w}$. Let $f : S \rightarrow S$ be an affine automorphism with derivative M . Then $f^{-1}(C)$ is a maximal cylinder of S in direction \mathbf{v}_1 . Such cylinders in direction \mathbf{v}_1 consist of three rhombi, which are arranged symmetrically around a downward triangle in the tiling. (Triples of rhombi of this form are colored in the same way in Figure 12.) Let $\delta : S \rightarrow S$ be the order three rotation of the tiling which permutes the three rhombi forming $f^{-1}(C)$. Then the cylinder C is preserved by the order three element $f^{-1} \circ \delta \circ f$. □

Corollary 5.6. *Each periodic trajectory of the tiling billiard $T : X \rightarrow X$ is invariant under a rotational symmetry of the tiling of period three but is not invariant under a rotational symmetry of period six.*

Notice the order-three rotational symmetry of the periodic trajectory on the right side of Figure 1; this Corollary shows that all periodic trajectories have this property.

Proof. Period three invariance follows from Proposition 5.5 since the deck group corresponds to symmetries of the tiling as noted above. Order six symmetry is impossible because the portions of a trajectory inside hexagons only travel in three directions; see Lemma 2.1. □

6 Ergodicity of aperiodic directions

The proof of our ergodicity result hinges on a criterion for ergodicity of Hubert and Weiss [19]. The original criterion was stated for \mathbb{Z} -covers of compact translation surfaces (i.e., regular covers with deck group isomorphic to \mathbb{Z}), but Artigani [1] has observed that a version of their result also holds for \mathbb{Z}^2 -covers. We have done some work in the appendix which gives some auxiliary results allowing us to prove the Theorem 1.2. This theorem is a consequence of an ergodicity result for the translation surface S . Namely,

Theorem 6.1. *Let $0 \leq \theta \leq \pi$ and let $\vec{u}_\theta \in T_1(\Delta)$ be the unit tangent vector based at i which is tangent to the geodesic ray in the upper half-plane terminating at $|\cot \theta|$ as described above (1). Consider the billiard trajectory $g_t(\vec{u}_\theta)$ in Δ as in the introduction. If*

$$\limsup_{t \rightarrow +\infty} \Im(g_t(\vec{u}_\theta)) > \frac{2}{\sqrt{3}} \quad \text{and} \quad \liminf_{t \rightarrow +\infty} \Im(g_t(\vec{u}_\theta)) \neq +\infty,$$

then the straight-line flow $F_\theta : S \rightarrow S$ is ergodic.

The criterion we use to prove this is given in Theorem A.3 of the appendix. Our surface S is a \mathbb{Z}^2 cover of Z° . We define Γ to be the subset of the Veech group $V(S)$ consisting of elements $M \in \Gamma$ so that there is an affine automorphism $f : S \rightarrow S$ which commutes with all elements in 2Λ , the deck group of the cover $S \rightarrow Z^\circ$. To this end we show:

Proposition 6.2. *An affine automorphism $f : Z^\circ \rightarrow Z^\circ$ has a lift to S which commutes with all elements of the deck group of the cover $S \rightarrow Z^\circ$ if f preserves the set $\Sigma \subset Z$ of punctures pointwise.*

Proof. It follows from Proposition 4.5 that the classes $\eta_0, \eta_1 \in H_1(S, \Sigma; \mathbb{Z})$ are preserved by f . (The six classes are distinguished by their images in $H_0(\Sigma, \mathbb{Z})$ under the boundary map.)

Let $p_0 \in Z^\circ$ be the basepoint for the curves α, β_0, β_1 and β_2 on the left side of Figure 16. When the curves β_0 and β_1 are lifted to curves $\tilde{\beta}_0$ and $\tilde{\beta}_1$ on S , the endpoints differ by the deck group elements $-2\mathbf{v}_0$ and $-2\mathbf{v}_1$, respectively. Denote these two deck group elements by D_0 and D_1 respectively, and note that they generate the deck group 2Λ .

Let $\tilde{f} : S \rightarrow S$ be a lift of f which exists by Lemma 4.4. Since D_i is the element of the deck group carrying the starting point of $\tilde{\beta}_i$ to the end point, it follows that $\tilde{f} \circ D_i \circ \tilde{f}^{-1}$ is the element of the deck group carrying the starting point of $\tilde{f} \circ \tilde{\beta}_i$ to its end point. The curve $\tilde{f} \circ \tilde{\beta}_i$ is a lift of the curve $f \circ \beta$ and therefore the deck group element $\tilde{f} \circ D_i \circ \tilde{f}^{-1}$ coincides with the monodromy $h(f \circ \beta_i)$; see (6). We can compute $h(f \circ \beta_i)$ using Proposition 4.3:

$$h(f \circ \beta_i) = 2(\eta_0 \cap f_*(\beta_i))\mathbf{v}_0 + 2(\eta_1 \cap f_*(\beta_i))\mathbf{v}_1 = 2(f_*^{-1}(\eta_0) \cap \beta_i)\mathbf{v}_0 + 2(f_*^{-1}(\eta_1) \cap \beta_i)\mathbf{v}_1.$$

Since f_*^{-1} stabilizes η_0 and η_1 , we see $h(f \circ \beta_i) = h(\beta_i)$ or equivalently $\tilde{f} \circ D_i \circ \tilde{f}^{-1} = D_i$. \square

Corollary 6.3. *The subgroup $\Gamma \subset V(S)$ is at most index six.*

Proof. The affine automorphisms satisfying Proposition 6.2 lie in the kernel of a group homomorphism to the permutation group of Σ . \square

Proposition 6.4. *With θ satisfying the hypotheses of Theorem 6.1, the straight-line flow on Z° in direction θ is uniquely ergodic.*

Proof. By hypothesis the billiard trajectory $g_t(\vec{u}_\theta)$ has an accumulation point in $\mathrm{SL}(2, \mathbb{R}) / (V(Z^\circ) \cap \mathrm{SL}(2, \mathbb{R}))$. (This quotient is naturally the unit tangent bundle of the double of Δ across its boundary.) By Masur's criterion [21, Theorem 1.1], straight-line flow on Z° in direction θ is uniquely ergodic. \square

Proposition 6.5. *In the horizontal direction, Z° has a horizontal cylinder decomposition consisting of three cylinders C_0, C_1, C_2 which lifts to strips in S . The cylinders can be indexed so that $\mathbf{hol}(C_i) = (1, 0)$, $\mathrm{Area}(C_i) = \frac{\sqrt{3}}{2}$ and $\pm \mathbf{w}(C_i) = \pm 2\mathbf{v}_i$.*

This follows from the geometric description of Z° and Proposition 4.3.

The cylinders lifting to strips as above determine cusp neighborhoods as in Proposition A.4. The following gives the geometry of a corresponding horodisk defined in (14).

Proposition 6.6. *The horodisk $H((1, 0), \sqrt{\frac{\sqrt{3}}{2}})$ is given by $\{z \in \mathbb{C} : \Im z > \frac{2}{\sqrt{3}}\}$.*

Proof. Let H denote $H((1, 0), \sqrt{\frac{\sqrt{3}}{2}})$. By definition, for $M \in \text{PSL}(2, \mathbb{R})$, we have $[M] \in H$ if $|M(1, 0)| < \sqrt{\frac{\sqrt{3}}{2}}$. Consider $M = g_t$ as in (8). By (9), g_t is the unit tangent vector based at $e^{2t}i$. Also, $|g_t(1, 0)| = e^{-t}$ so that $e^{2t}i \in H$ if and only if $e^{-t} < \sqrt{\frac{\sqrt{3}}{2}}$. Thus $\frac{2}{\sqrt{3}}i \in \partial H$. Since H is a horodisk based at ∞ , the proposition follows. \square

Proof of Theorem 6.1. This is a consequence of Theorem A.3. Fix θ as in the statement of the theorem. The straight-line flow in direction θ is ergodic on Z° by Proposition 6.4. Consider the deck group elements of S associated to $2\mathbf{v}_0$ and $2\mathbf{v}_1$. We need to show that $(\theta, -2\mathbf{v}_0)$ and $(\theta, -2\mathbf{v}_1)$ are well-approximated by strips. We use Proposition A.4 for this. The subgroup $\Gamma \subset V(Z^\circ)$ used in the Proposition is finite index by Corollary 6.3. In particular and parabolic $P \in V(Z^\circ)$ has a power which lies in Γ .

By Proposition 6.5 the horizontal direction has three cylinders on Z° one which satisfies $\langle G_C \rangle = \langle \pm \mathbf{w}(C) \rangle = \langle 2\mathbf{v}_i \rangle$ for $i = 0$ and another of which satisfies this for $i = 1$. Proposition A.4 then guarantees that both $(\theta, 2\mathbf{v}_0)$ and $(\theta, 2\mathbf{v}_1)$ are well-approximated by strips if there is an accumulation point of $[g_t r_\theta^{-1} \Gamma]$ in the cusp neighborhood $\mathcal{C}((1, 0), \sqrt{\frac{\sqrt{3}}{2}})$ of \mathbb{H}^2/Γ which is defined as the projection of $\mathcal{H}((1, 0), \sqrt{\frac{\sqrt{3}}{2}})$ to \mathbb{H}^2/Γ .

The Veech group $V(Z^\circ)$ acts transitively on strip direction of S by Theorem 4.8. Also, all strip direction have cylinders with $\pm \mathbf{w}(C) = \pm 2\mathbf{v}_i$ for $i = 0$ and $i = 1$ by Proposition 5.3. In particular, for all $M \in V(Z^\circ)$, if $[g_t r_\theta^{-1} \Gamma]$ accumulates in a point in the cusp neighborhood $\mathcal{C}(M(1, 0), \sqrt{\frac{\sqrt{3}}{2}})$, then we also get that both $(\theta, 2\mathbf{v}_0)$ and $(\theta, 2\mathbf{v}_1)$ are well-approximated by strips.

Recall from Corollary 4.7 that $\Delta = \mathbb{H}^2/V(Z^\circ)$. Since $\Gamma \subset V(Z^\circ)$, there is a natural covering map $p : \mathbb{H}^2/\Gamma \rightarrow \Delta$. The cusps neighborhoods found above consist of preimages under p of the neighborhood consisting of points $z \in \Delta$ with $\Im z > \frac{2}{\sqrt{3}}$. This follows from naturality of the definition of neighborhood (see (15)) and Proposition 6.6 in the case of the horizontal direction. Since the covering is finite-to-one, there is an accumulation point in one of these cusp neighborhoods if and only if there is an accumulation point of $[g_t r_\theta^{-1} V(Z^\circ)]$ in the cusp neighborhood of Δ described above. This is guaranteed by hypothesis in the statement of the Theorem. Thus we get that $(\theta, 2\mathbf{v}_0)$ and $(\theta, 2\mathbf{v}_1)$ are well-approximated by strips and therefore the flow in direction θ is ergodic on S by Theorem A.3. \square

Proof of Theorem 1.2. By Proposition 2.6 and invariance of \mathcal{E} under symmetries of the tiling, it suffices to consider the flow $T_{\theta,+} : X_{\theta,+} \rightarrow X_{\theta,+}$ for some $\theta \in \mathcal{E} \cap [\frac{\pi}{3}, \frac{2\pi}{3}]$. By Theorem 6.1, the flow $F_\theta : S \rightarrow S$ is ergodic. Consider the orbit-equivalence $\mathbf{x} : S \rightarrow X_{\theta,+}$ given by Theorem 3.4. Because the orbit equivalence is bilipschitz in the flow direction and measure preserving in the transverse direction, an F_θ -invariant measurable set $A \subset S$ has measure zero if and only if $\mathbf{x}(A) \subset X_{\theta,+}$ has measure zero. Thus $T_{\theta,+}$ is also ergodic. \square

A The ergodicity criterion of Hubert and Weiss

The goal of this appendix is to make the ergodicity criterion of Hubert and Weiss geometrically explicit in the context of this paper. Essentially this amounts to working out a statement of [19, Proposition 5] which was left to the reader with attention to the explicit bounds.

We begin with some hyperbolic geometry. We continue to follow the conventions established in §4.4. For each vector $\mathbf{v} \in \mathbb{R}^2$, we may define the Busemann function

$$B_{\mathbf{v}} : \mathbb{H}^2 \rightarrow \mathbb{R}_{>0}; \quad [M] \mapsto \log |M\mathbf{v}|.$$

If \mathbf{v} has unit length this coincides with the usual notion of the Busemann function for the geodesic $t \mapsto [g_t r_\theta^{-1}]$ where $r_\theta \in \mathrm{SO}(2, \mathbb{R})$ is a rotation so that $r_\theta^{-1}(\mathbf{v})$ is horizontal and g_t is as in (8). The Busemann functions yield a natural compactification of \mathbb{H}^2 where $[M_n] \rightarrow [\mathbf{v}]$ if and only if $B_{\mathbf{v}}([M_n]) \rightarrow -\infty$. A *horodisk neighborhood* of $[\mathbf{v}] \in \mathbb{RP}^2$ is a set of the form

$$H(\mathbf{v}, \epsilon) = \{[M] \in \mathbb{H}^2 : \exp \circ B_{\mathbf{v}}([M]) < \epsilon\} \quad \text{for some } \epsilon > 0. \quad (14)$$

Let $\Gamma \subset \mathrm{SL}(2, \mathbb{R})$ be a non-uniform lattice and $P \in \Gamma$ be a parabolic preserving with eigenvector $\mathbf{v} \in \mathbb{R}^2$. Then the quotient \mathbb{H}^2/Γ has a cusp associated to P and \mathbf{v} . We define $\mathcal{C}(\mathbf{v}, \epsilon)$ to be the image of the horodisk $H(\mathbf{v}, \epsilon)$ in \mathbb{H}^2/Γ . It is useful to observe that for any $h \in \mathrm{SL}(2, \mathbb{R})$ and any $\epsilon > 0$ we have $M \in H(h(\mathbf{v}), \epsilon)$ if and only if $M \in H(\mathbf{v}, \epsilon) \cdot h^{-1}$ and thus

$$H(\mathbf{v}, \epsilon) \cdot \Gamma = \bigcup_{\gamma \in \Gamma} H(\gamma(\mathbf{v}), \epsilon) \quad (15)$$

or equivalently $\mathcal{C}(\mathbf{v}, \epsilon) = \mathcal{C}(\gamma(\mathbf{v}), \epsilon)$ for all $\gamma \in \Gamma$.

Lemma A.1. *Let $\Gamma \subset \mathrm{SL}(2, \mathbb{R})$ be a discrete group and let $\mathbf{v} \in \mathbb{R}^2$ be an eigenvector of a parabolic $P \in \Gamma$. Let $\mathbf{u}_\theta \in \mathbb{R}^2$ be the unit vector in direction θ . Then the following statements are equivalent for any $d > 0$:*

(a) *There is a sequence $\gamma_n \in \Gamma$ so that the vectors $\mathbf{v}_n = \gamma_n(\mathbf{v})$ are pairwise distinct and satisfy*

$$\liminf_{n \rightarrow \infty} |\mathbf{v}_n| \cdot |\mathbf{u}_\theta \wedge \mathbf{v}_n| < d,$$

where $\mathbf{u}_\theta \wedge \mathbf{v}_n$ is the signed area of the parallelogram with the two vectors as edges.

(b) *The geodesic ray $[0, +\infty) \rightarrow \mathbb{H}^2/\Gamma$ defined by $t \mapsto [g_t r_\theta^{-1} \Gamma]$ has an accumulation point in the open neighborhood of the cusp $\mathcal{C}(\mathbf{v}, \sqrt{2d})$.*

Statement (a) is related to the ergodicity criterion of Hubert and Weiss, which we will now state. Note that Hubert and Weiss stated this criterion for \mathbb{Z} -covers of translation surface. Artigani noticed that the result easily extended to \mathbb{Z}^2 -covers. In fact, this all works for \mathbb{G} -covers where \mathbb{G} is discrete abelian group, which can be seen from the work of these three authors.

Let \mathbb{G} be a discrete abelian group and let S be a \mathbb{G} -cover of a compact translation surface X . That is, S is a regular cover of X with deck group \mathbb{G} and $S/\mathbb{G} = X$. If $C \subset X$ is a cylinder, it either lifts to a cylinder on S or to a strip. In the later case there is a 1-generator subgroup $\langle G_C \rangle \subset \mathbb{G}$ which is isomorphic to \mathbb{Z} and preserves the strip. Because the deck group is abelian, $\langle G_C \rangle$ depends only on C .

Definition A.2 ([19, §1] and [1, Def. 5]). Let θ be a direction for straight-line flow and let $G \in \mathbb{G}$. We say the pair (θ, G) is *well-approximated by strips* on S if there is an $\epsilon > 0$ and infinitely many cylinders C on X with areas bounded from below by some positive number which lift to strips on S and satisfy $\langle G_C \rangle \equiv \langle G \rangle$ and

$$|\mathrm{hol} C| \cdot |\mathbf{u}_\theta \wedge \mathrm{hol}(C)| \leq \frac{1 - \epsilon}{2} \mathrm{Area}(C).$$

Theorem A.3 ([19, Theorem 1] and [1, Proposition 7]). *Suppose $S \rightarrow X$ is a \mathbb{G} -cover as above. Suppose $\langle G_1, \dots, G_n \rangle$ is a finite index subgroup of \mathbb{G} and that θ is an ergodic direction for straight-line flow on the finite cover of X obtained by $S/\langle G_1, \dots, G_n \rangle$. If for each i , (θ, G_i) is well-approximated by strips on S , then θ is an ergodic direction on S .*

The proof of this Theorem uses essential values as explained in [19, §3] and [1, §3]. We remark that Artigani used this for a family of examples which typically have elementary Veech groups.

Lemma A.1 gives a geometric criterion for deducing well-approximated by strips in the case when the Veech group is non-elementary.

Proposition A.4. *Let S be a \mathbb{G} -cover of a compact translation surface X as above. Let $\Gamma \subset \mathrm{SL}(2, \mathbb{R})$ denote the subgroup of the Veech group of S consisting of derivatives of affine automorphisms which commute with all elements of the deck group \mathbb{G} . Let C be a cylinder on X which lifts to a strip in S so that $\mathbf{hol} C$ is preserved by a parabolic $P \in \Gamma$. Then, if θ is a direction so that the geodesic ray $[g_t r_\theta^{-1} \Gamma]$ has an accumulation point in the open neighborhood of the cusp $\mathcal{C}(\mathbf{hol} C, \sqrt{\mathrm{Area}(C)})$ in the surface \mathbb{H}^2/Γ then (θ, G_C) is well-approximated by strips on S .*

Proof assuming Lemma A.1. The commutativity assumption tells us that for any $\gamma \in \Gamma$, there is an affine automorphism ϕ with derivative γ_0 so that the cylinder $\phi(C)$ satisfies $G_{\phi(C)} = G_C$. Such cylinders are therefore available to use for verifying that (θ, G_C) is well-approximated by strips. By hypothesis we have statement (b) of Lemma A.1 for $\mathbf{v} = \mathbf{hol} C$ and $d = \mathrm{Area}(C)/2$. Since (b) implies (a), there is a sequence $\gamma_n \in \Gamma$ so that $\mathbf{v}_n = \gamma_n(\mathbf{v})$ are pairwise distinct and so that

$$\liminf_{n \rightarrow \infty} |\mathbf{v}_n| \cdot |\mathbf{u}_\theta \wedge \mathbf{v}_n| < d.$$

Let K denote the \liminf above. For each n , choose an affine automorphism ϕ_n with derivative γ_n so that ϕ_n commutes with all elements of \mathbb{G} . Set $C_n = \phi_n(C)$ which are cylinders with the same area and $G_{C_n} = G_C$ from our first remarks. Observe that $|\mathbf{hol} C_n| \cdot |\mathbf{u}_\theta \wedge \mathbf{hol}(C_n)|$ coincides with $|\mathbf{v}_n| \cdot |\mathbf{u}_\theta \wedge \mathbf{v}_n|$ and so the limit inferior of this quantity is K . The definition of well-approximated is therefore satisfied when $0 < \epsilon < 1 - \frac{2K}{\mathrm{Area}(C)}$. \square

Remark A.5. In the setting of the proposition above, if additionally Γ is a lattice, and there are cylinders C_1, \dots, C_n so that $\langle G_{C_1}, \dots, G_{C_n} \rangle$ is finite index in \mathbb{G} , we get that almost every θ is ergodic. The argument of [19, Proposition 6] works here. The S surface in this paper gives an example where these hypotheses are satisfied.

The remainder of the section is devoted to the proof of Lemma A.1. In order to simplify the argument, we assume without loss of generality that the direction θ is horizontal and $\mathbf{u}_\theta = (1, 0)$. Denote \mathbf{v}_n by (x_n, y_n) . Then we have

$$|\mathbf{v}_n| \cdot |\mathbf{u}_\theta \wedge \mathbf{v}_n| = |y_n| \sqrt{x_n^2 + y_n^2}. \quad (16)$$

It will be useful for us to observe:

Proposition A.6. *For any sequence $\mathbf{v}_n = (x_n, y_n)$ so that $[\mathbf{v}_n] \rightarrow [(1, 0)]$ in $\mathbb{RP}^2 = \mathbb{R}^2/(\mathbb{R} \setminus \{0\})$,*

$$\liminf_{n \rightarrow \infty} |x_n y_n| = \liminf_{n \rightarrow \infty} |y_n| \sqrt{x_n^2 + y_n^2}.$$

The proof is elementary and left to the reader.

Proposition A.7. *Let $\mathbf{w} = (x, y)$ be a vector in \mathbb{R}^2 with $|x| > |y| > 0$. The geodesic ray $\{[g_t] : t > 0\}$ is tangent to the horoball $H(\mathbf{w}, \sqrt{2|x||y|})$.*

Proof. By a direct calculation we observe that $[g_t]$ is in the boundary of the horoball $H(\mathbf{w}, e^{-t}x + e^ty)$. The minimum attained by $e^{-t}x + e^ty$ taken over all $t \in \mathbb{R}$ is $\sqrt{2|x||y|}$ and this is attained for the t satisfying $|e^{-t}x| = |e^ty|$ which occurs for $t > 0$ since $|x| > |y| > 0$. \square

Proof of Lemma A.1. Fix the parabolic $P \in \Gamma$ with eigenvector \mathbf{v} as in the statement of the theorem. Assume without loss of generality that θ is horizontal as mentioned above. Fix a $d > 0$.

Suppose we have sequences γ_n so that $\mathbf{v}_n = \gamma_n(\mathbf{v}) = (x_n, y_n)$ satisfies statement (a) of the theorem. Recall that because Γ is a discrete group, the orbit of the eigenvector \mathbf{v} of the parabolic $P \in \Gamma$ is discrete. This forces us to have $|\mathbf{v}_n| \rightarrow \infty$, and it follows from (16) and our hypothesis on $\{v_n\}$ that $[\mathbf{v}_n] \rightarrow [(1, 0)]$ and $|x_n| \rightarrow \infty$ where $\mathbf{v}_n = (x_n, y_n)$. By possibly removing finitely many terms, we may assume $|x_n| > |y_n|$ for all n . Let $K = \liminf_{n \rightarrow \infty} |\mathbf{v}_n| \cdot |\mathbf{v}_n \wedge \mathbf{u}_\theta|$ and recall that $K < d$ by hypothesis. By Proposition A.6 and (16), we know $\liminf_{n \rightarrow \infty} |x_n y_n| = K$. As a consequence of Proposition A.7, we know that the ray $\{[g_t] : t > 0\}$ is tangent to the horoball $H(\mathbf{v}_n, \sqrt{2|x_n||y_n|})$ for each n . On the surface this horoball descends to the cusp neighborhood $\mathcal{C}(\mathbf{v}, \sqrt{2|x_n||y_n|})$. As

$d > K$, there are infinitely many n so that the ray visits the closure of the horoball $H(\mathbf{v}_n, \sqrt{K+d})$ so we get an accumulation point in the closure of $\mathcal{C}(\mathbf{v}, \sqrt{K+d})$ which is contained in $\mathcal{C}(\mathbf{v}, \sqrt{2d})$.

Now suppose that the ray $\{[g_t] : t > 0\}$ has an accumulation point in the open cusp neighborhood $\mathcal{C}(\mathbf{v}, \sqrt{2d})$. This accumulation point lies in the boundary of $\mathcal{C}(\mathbf{v}, \sqrt{2K})$ for some $K < d$. That is, there is a sequence of times $t_n \rightarrow +\infty$ so that $g_{t_n} \cdot \Gamma$ converges to a point in the closure of $\mathcal{C}(\mathbf{v}, \sqrt{2K})$. Lifting to $\mathrm{SL}(2, \mathbb{R})$, we get a sequence $\gamma_n \in \Gamma$ so that $g_{t_n} \gamma_n$ converges to a point in the $H(\mathbf{v}, \sqrt{2K})$. In particular, the ray $\{g_t \gamma_n : t > 0\}$ passes through the open horoball $H(\mathbf{v}, \sqrt{K+d})$. From the discussion above (15), this is equivalent to the statement that the ray $\{g_t : t > 0\}$ passes through $H(\gamma_n(\mathbf{v}), \sqrt{K+d})$. Set $\mathbf{v}_n = \gamma_n(\mathbf{v}) = (x_n, y_n)$. This ray is tangent to the horoball $H(\mathbf{v}_n, \sqrt{2|x_n||y_n|})$ by Proposition A.7. In particular then $\sqrt{2|x_n||y_n|} < \sqrt{K+d}$ for each n . It follows that $\liminf |x_n||y_n| \leq \frac{K+d}{2}$. Then by Proposition A.6,

$$\liminf |y_n|(|x_n| + |y_n|) \leq \frac{K+d}{2} < d.$$

□

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